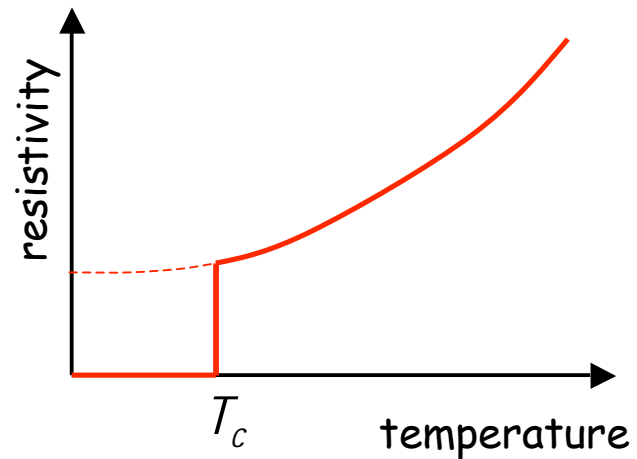


Unconventional Superconductivity

Introduction

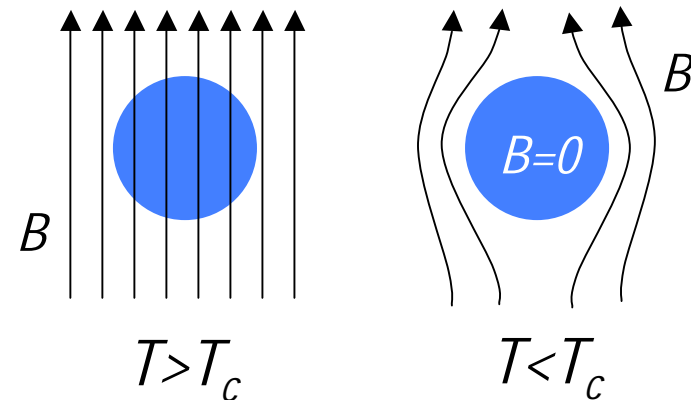
Superconductivity

Electrical resistance (1911)



Field expulsion (1933)

Meissner-Ochsenfeld effect



Superconductivity as a thermodynamic phase

London theory (1935)

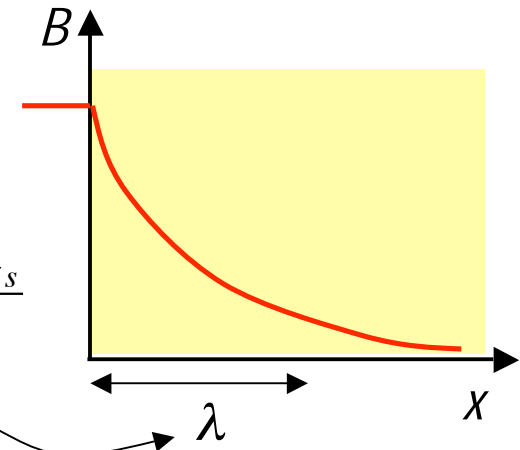
$$\left. \begin{aligned} \nabla \times \lambda^2 \vec{j} &= -\vec{B} \\ \nabla \times \vec{B} &= \frac{4\pi}{c} \vec{j} \end{aligned} \right\} \rightarrow$$

$$\nabla^2 \vec{B} = \lambda^{-2} \vec{B}$$

density of superconducting electrons

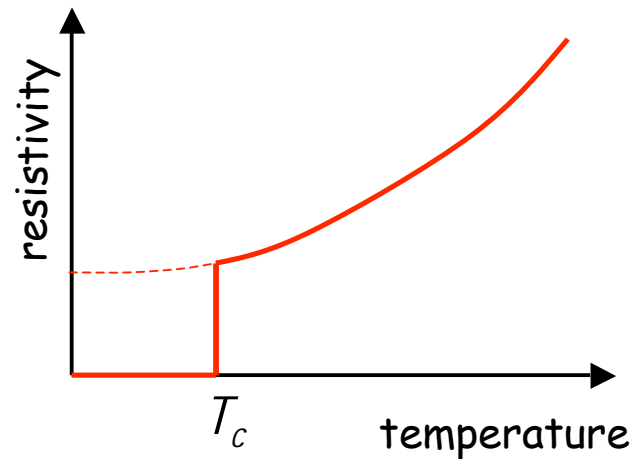
$$\lambda^{-2} = \frac{4\pi e^2 n_s}{mc^2}$$

London penetration depth



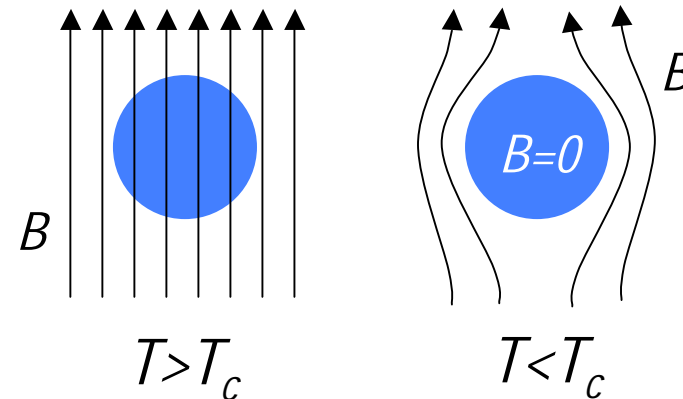
Superconductivity

Electrical resistance (1911)



Field expulsion (1933)

Meissner-Ochsenfeld effect



Superconductivity as a thermodynamic phase

Order parameter: $\Psi(\vec{r}) = |\Psi(\vec{r})|e^{i\varphi(\vec{r})}$ condensate with a broken $U(1)$ -gauge symmetry

$$F[\Psi, \vec{A}] = \int d^3r \left[a(T)|\Psi|^2 + b|\Psi|^4 + K|\vec{D}\Psi|^2 + \frac{1}{8\pi}(\vec{\nabla} \times \vec{A})^2 \right]$$

Ginzburg-Landau theory (1950)

minimal coupling $\vec{D} = \vec{\nabla} + i\frac{2e}{\hbar c}\vec{A}$

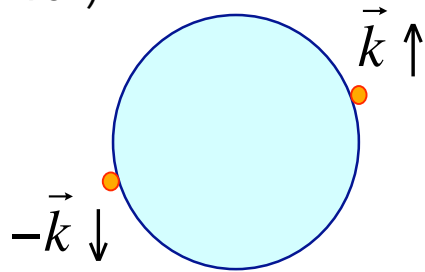
Conventional superconductivity

Order parameter $\Psi(\vec{r}) = |\Psi(\vec{r})| e^{i\varphi(\vec{r})}$ structureless complex condensate wave function

Microscopic origin: Coherent state of Cooper pairs

$$|\psi\rangle = \prod_{|\vec{k}| \leq k_F} \{u_{\vec{k}} + v_{\vec{k}} c_{\vec{k}\uparrow}^\dagger c_{-\vec{k}\downarrow}^\dagger\} |0\rangle = \left(\prod_{|\vec{k}| \leq k_F} u_{\vec{k}} \right) \exp \left(\sum_{|\vec{k}| \leq k_F} \frac{v_{\vec{k}}}{u_{\vec{k}}} c_{\vec{k}\uparrow}^\dagger c_{-\vec{k}\downarrow}^\dagger \right)$$

Bardeen-Cooper-Schrieffer
(1957)



pairs of electrons
diametral on Fermi surface;
vanishing total momentum

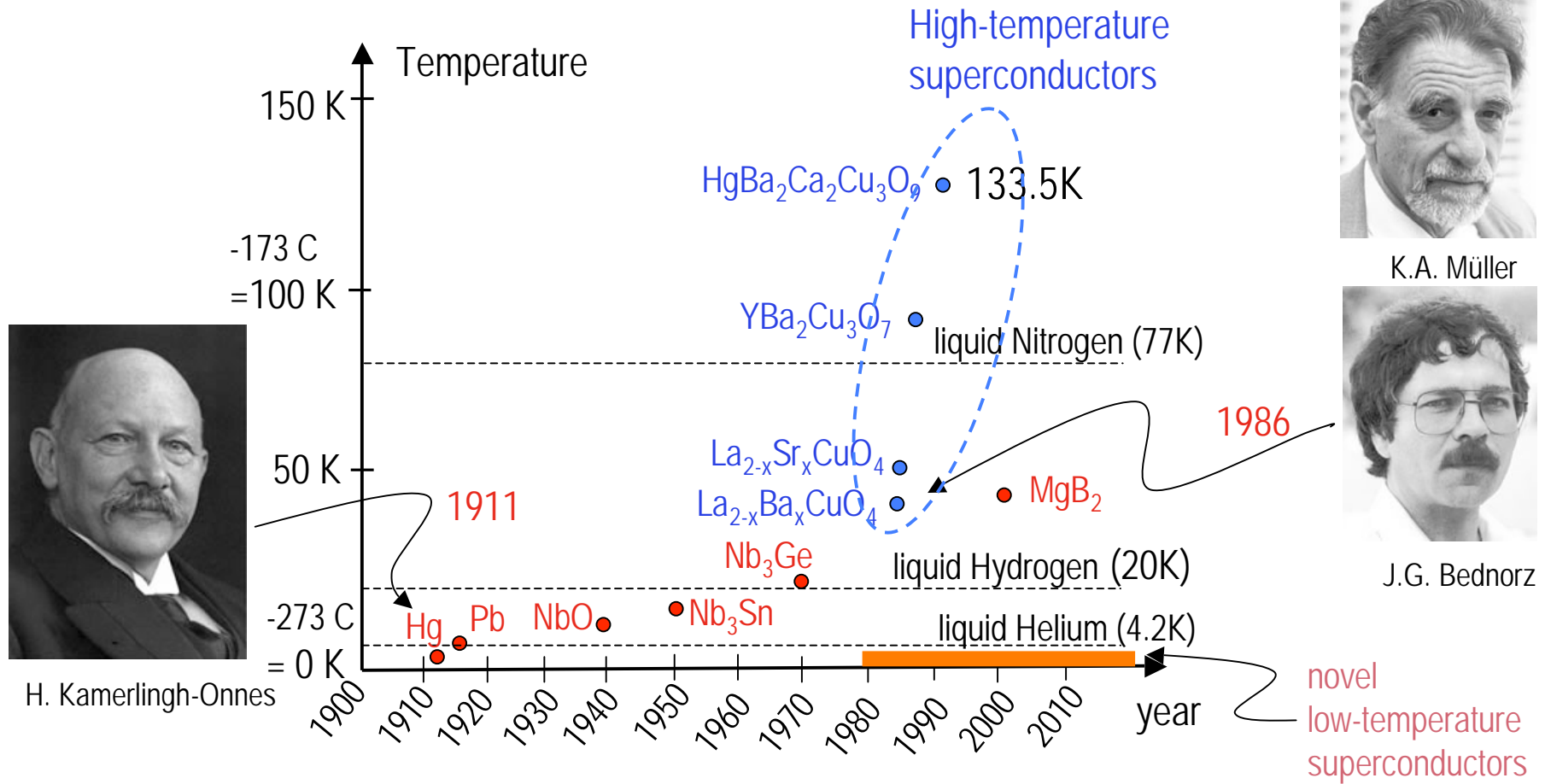
$$\Psi_{\vec{k}} = \langle \psi | c_{-\vec{k}\downarrow} c_{\vec{k}\uparrow} | \psi \rangle = u_{\vec{k}} v_{\vec{k}}$$

violation of $U(1)$ -gauge symmetry

$$c_{\vec{k}\uparrow} \rightarrow c_{\vec{k}\uparrow} e^{i\alpha} \Rightarrow \Psi_{\vec{k}} \rightarrow \Psi_{\vec{k}} e^{i2\alpha}$$

Conventional $\Psi_{\vec{k}} = \Psi$ independent of \vec{k}

The unsteady rise of T_c

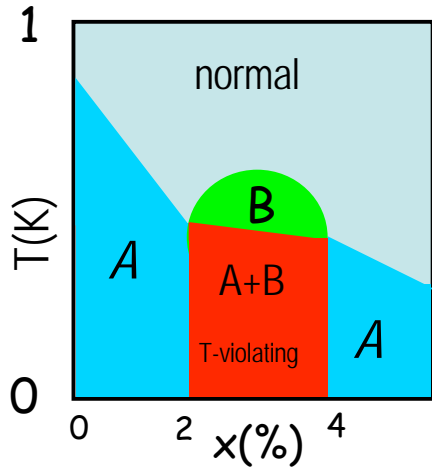


The novel superconductors

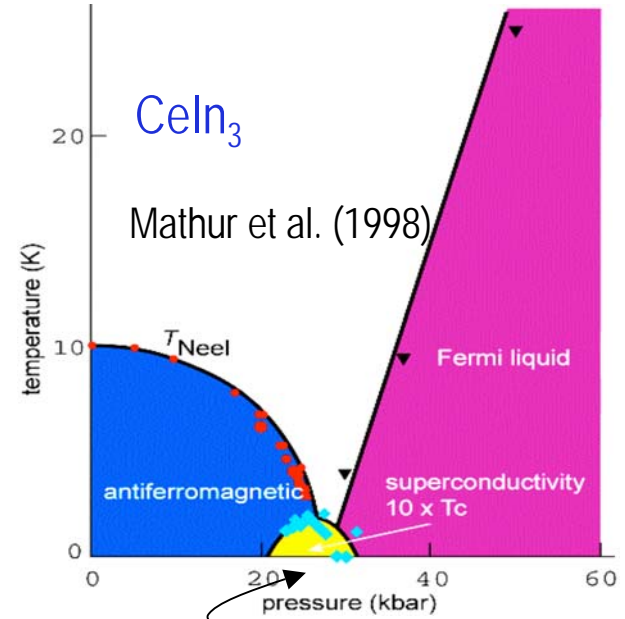
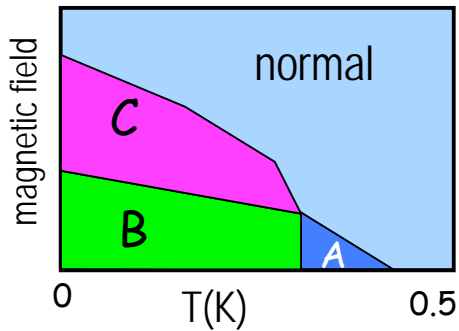
Heavy Fermion superconductors:

$CeCu_2Si_2$ Steglich et al. (1979)

$U_{1-x}Th_xBe_{13}$ Ott et al. (1983)



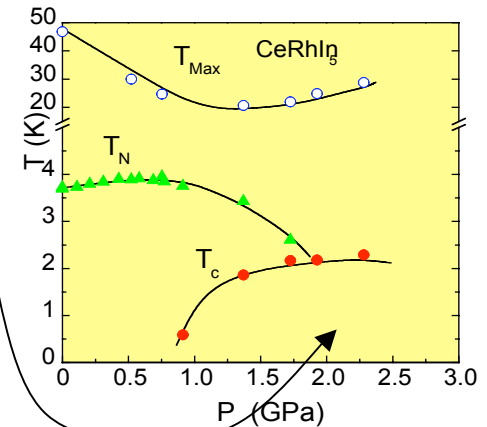
UPt_3 Stewart et al. (1984)



Quantum
Critical point

$CeRhIn_5$ Thompson et al. (2001)

AF \longleftrightarrow PM



The novel superconductors

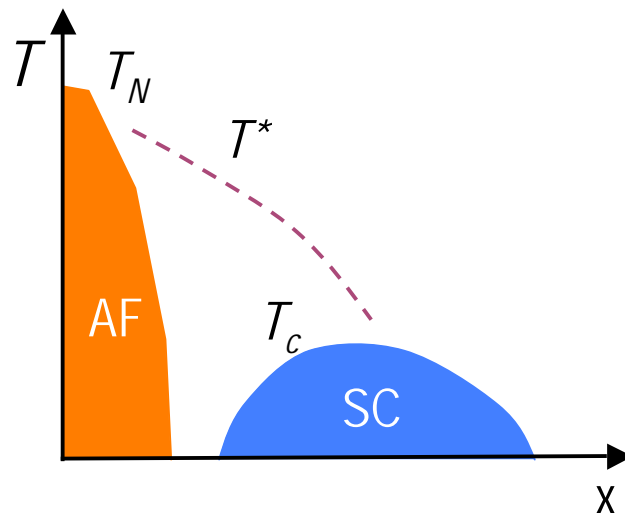
High-temperature superconductors

Layered perovskite cooper-oxides
Müller & Bednorz (1986)

$\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$ $T_c=45\text{K}$

$\text{YBa}_2\text{Cu}_3\text{O}_{6+\delta}$ $T_c=92\text{K}$

$\text{HgBa}_2\text{Ca}_2\text{Cu}_3\text{O}_9$ $T_c=133.5\text{K}$

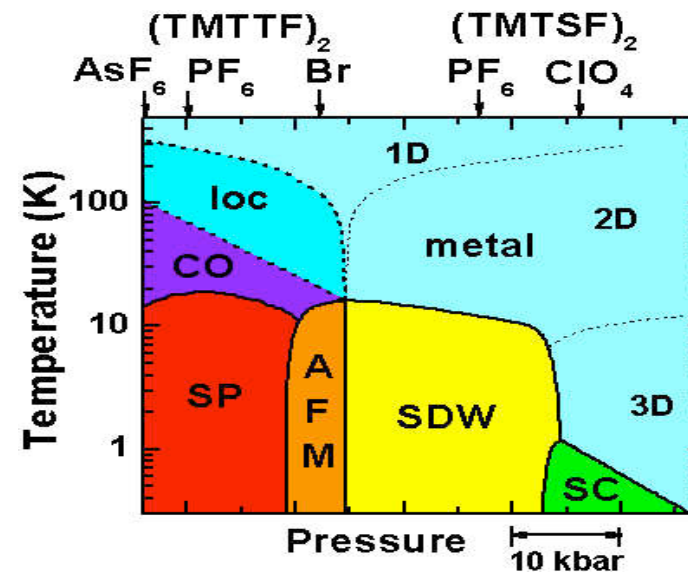


Organic superconductors

Jerome, Bechtgard et al (1980)

$(\text{TMTSF})_2\text{M}$ ($\text{M}=\text{PF}_6, \text{SbF}_6, \text{ReO}_4, \dots$) $T_c \sim 1\text{K}$

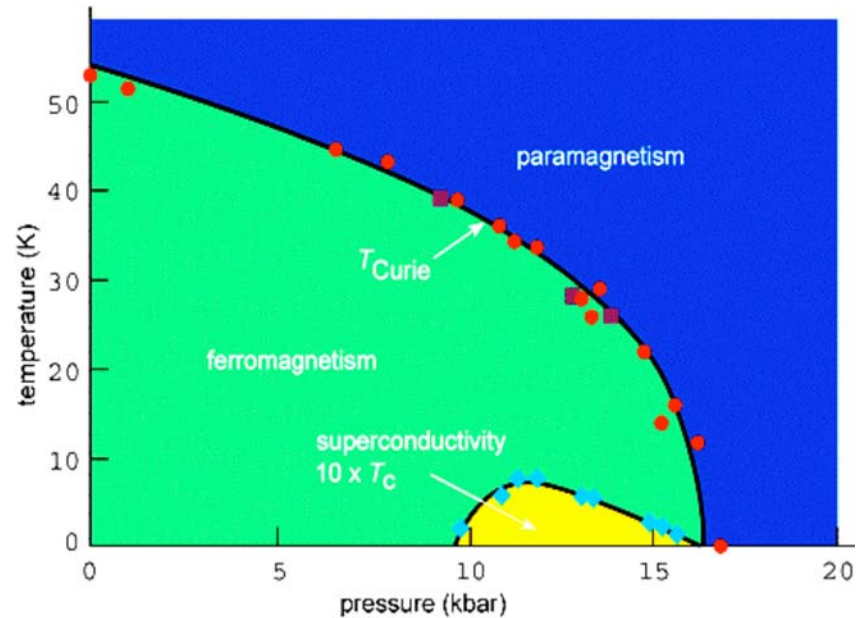
$(\text{BEDT-TTF})_2\text{M} \dots\dots$ $T_c \sim 10\text{K}$



The novel superconductors

Ferromagnetic superconductors:

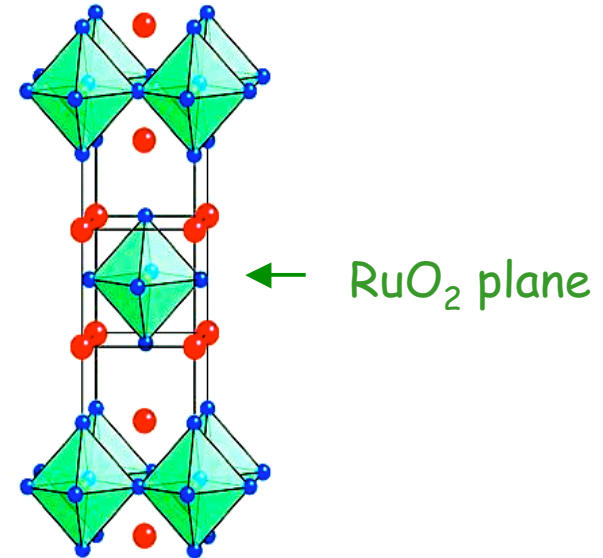
UGe_2 Saxena et al. (2000)



ZrZn_2 Pfeleiderer et al. (2001)

Superconductivity within
the ferromagnetic phase

Sr_2RuO_4



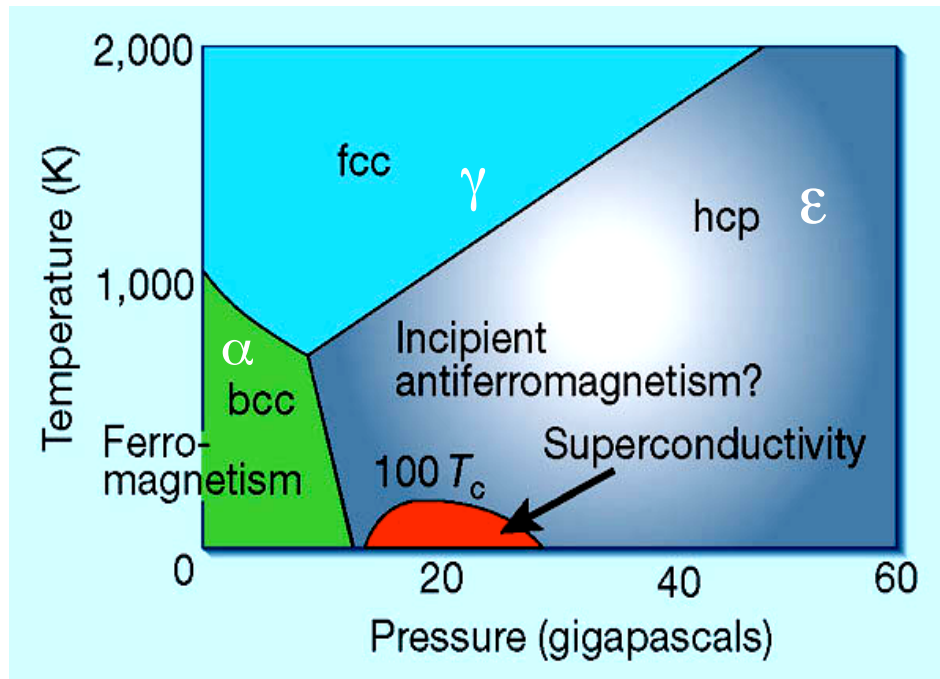
some similarities with
high- T_c superconductors,

but $T_c = 1.5 \text{ K}$

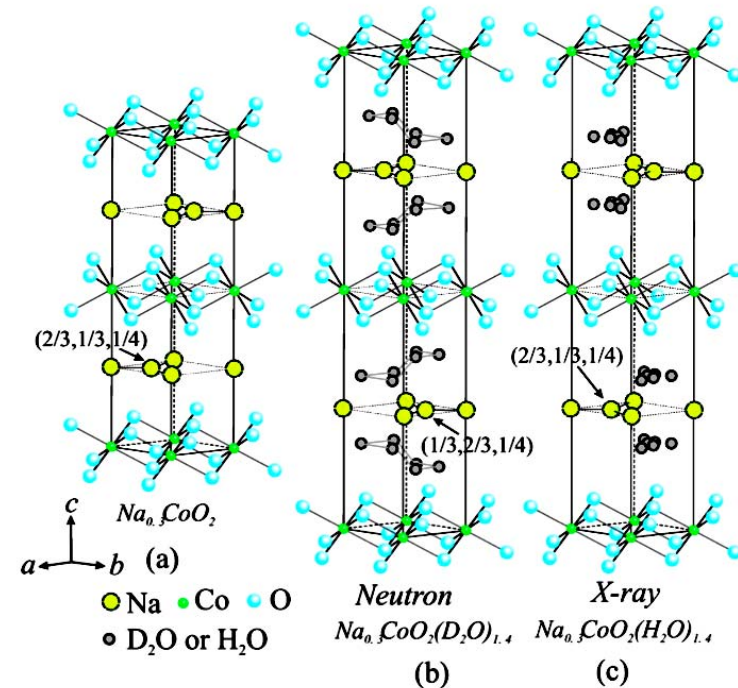
spin-triplet superconductor

The novel superconductors - under extreme conditions

Iron under pressure



Hydrated Na_xCoO₄



Layered structure: triangular

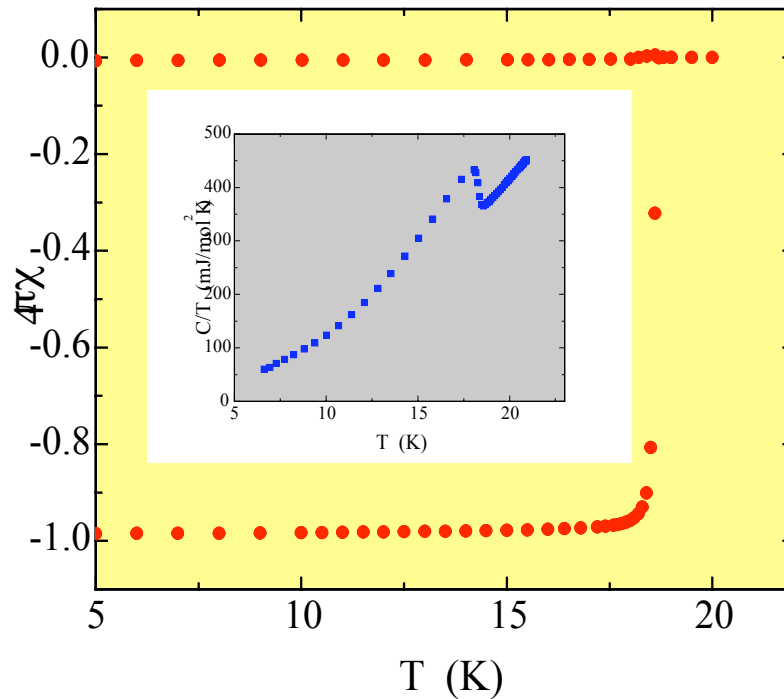
Superconductivity in a frustrated electron system $T_c \sim 5$ K

Shimizu et al. Nature 412, 316 (2001)

Takada et al., Nature 422, 53 (2003)

The novel superconductors

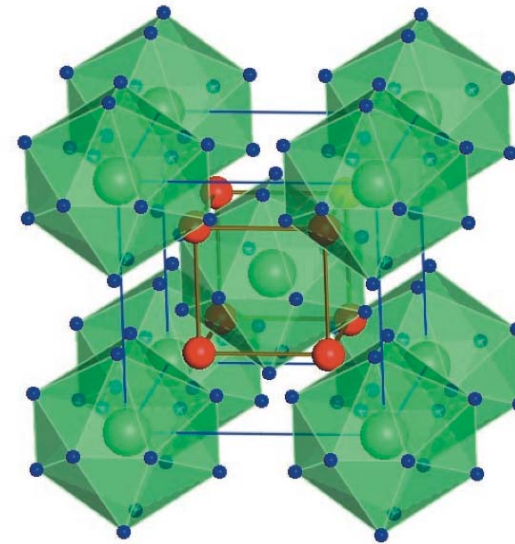
Time-dependent superconductivity



$$T_c = 18 \text{ K}$$

Thompson et al. (Los Alamos)

Skutterudite

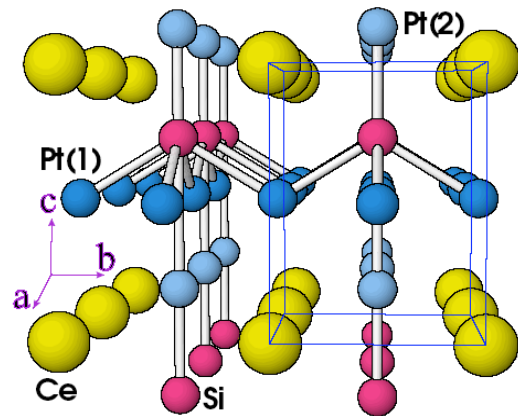


Bauer et al. PRB 65, R100506 (2002)

Multiple phases

The novel superconductors - no inversion symmetry

No paramagnetic limiting

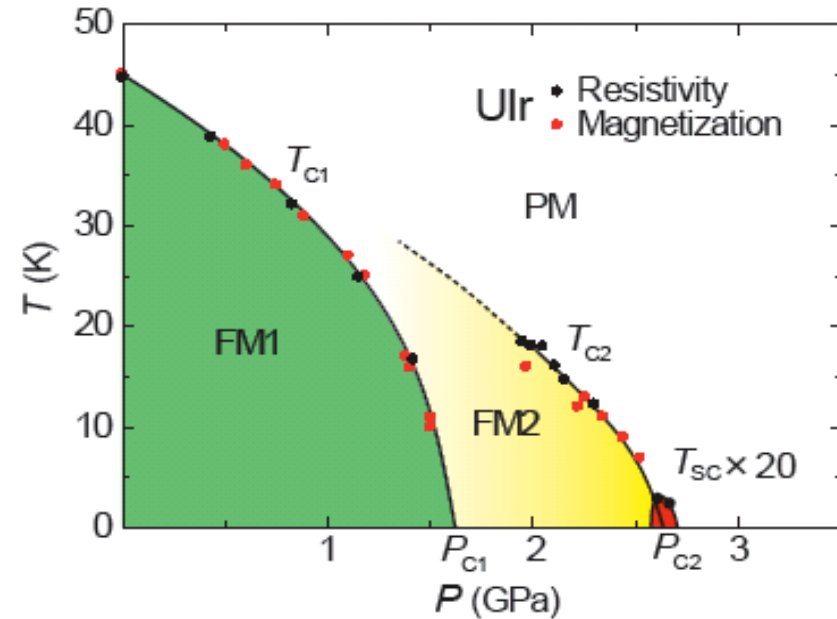


CePt_3Si $T_c = 0.8 \text{ K}$

H_{c2} exceeds drastically
the paramagnetic limit

Bauer et al. PRL 92, 027003 (2004)

Ferromagnetic quantum phase transition



UIr

$T_c = 0.15 \text{ K}$

Akazawa et al. J.Phys. Condens.
Matter 16, L29 (2004)

Bardeen-Cooper-Schrieffer

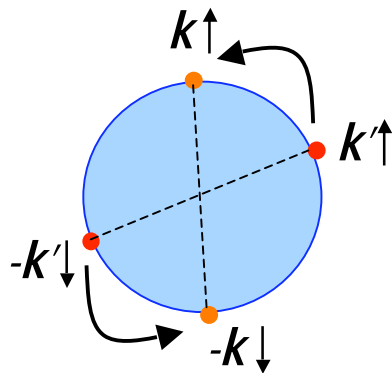
Microscopic theory of superconductivity

BCS mean field theory

simple model:
$$\mathcal{H} = \underbrace{\sum_{\vec{k},s} \xi_{\vec{k}} c_{\vec{k}s}^\dagger c_{\vec{k}s}}_{\text{band energy}} + g \underbrace{\sum_{\vec{k},\vec{k}'} c_{\vec{k}\uparrow}^\dagger c_{-\vec{k}\downarrow}^\dagger c_{-\vec{k}'\downarrow} c_{\vec{k}'\uparrow}}_{\text{pairing interaction}}$$

band energy:
$$\xi_{\vec{k}} = \epsilon_{\vec{k}} - \mu = \frac{\hbar^2}{2m} (\vec{k}^2 - k_F^2)$$

pairing interaction:
$$U(\vec{r} - \vec{r}') = g \delta^{(3)}(\vec{r} - \vec{r}') \quad \begin{array}{l} \text{attractive contact} \\ \text{Interaction } g < 0 \end{array}$$



$$V(\vec{q} = \vec{k} - \vec{k}') = \int d^3r U(\vec{r}) e^{i\vec{q}\cdot\vec{r}} = g = V_{\vec{k},\vec{k}'}$$

consider only scattering between zero-momentum electron pairs of opposite spin (spin singlet)

BCS mean field theory

simple model:
$$\mathcal{H} = \sum_{\vec{k}, s} \xi_{\vec{k}} c_{\vec{k}s}^\dagger c_{\vec{k}s} + g \sum_{\vec{k}, \vec{k}'} c_{\vec{k}\uparrow}^\dagger c_{-\vec{k}\downarrow}^\dagger c_{-\vec{k}'\downarrow} c_{\vec{k}'\uparrow}$$

decoupling of interaction term by means of

mean fields:
$$\rho_{\vec{q}} = \sum_{\vec{k}, s} \langle c_{\vec{k}+\vec{q}s}^\dagger c_{\vec{k}s} \rangle$$
 particle density

$$\vec{S}_{\vec{q}} = \sum_{\vec{k}} \sum_{s, s'} \langle c_{\vec{k}s}^\dagger \vec{\sigma}_{ss'} c_{\vec{k}s'} \rangle$$
 spin density



$$b_{\vec{k}} = \langle c_{-\vec{k}\downarrow} c_{\vec{k}\uparrow} \rangle$$
 BCS - "off diagonal"

BCS mean field theory

simple model:
$$\mathcal{H} = \sum_{\vec{k},s} \xi_{\vec{k}} c_{\vec{k}s}^\dagger c_{\vec{k}s} + g \sum_{\vec{k},\vec{k}'} c_{\vec{k}\uparrow}^\dagger c_{-\vec{k}\downarrow}^\dagger c_{-\vec{k}'\downarrow} c_{\vec{k}'\uparrow}$$

replace:
$$c_{\vec{k}\uparrow}^\dagger c_{-\vec{k}\downarrow}^\dagger = b_{\vec{k}}^* + \{c_{\vec{k}\uparrow}^\dagger c_{-\vec{k}\downarrow}^\dagger - b_{\vec{k}}^*\}, \quad c_{-\vec{k}\downarrow} c_{\vec{k}\uparrow} = b_{\vec{k}} + \{c_{-\vec{k}\downarrow} c_{\vec{k}\uparrow} - b_{\vec{k}}\}$$

mean field Hamiltonian:

$$\begin{aligned} \mathcal{H}_{mf} &= \sum_{\vec{k},s} \xi_{\vec{k}} c_{\vec{k}s}^\dagger c_{\vec{k}s} + g \sum_{\vec{k},\vec{k}'} \{b_{\vec{k}}^* c_{-\vec{k}\downarrow} c_{\vec{k}\uparrow} + b_{\vec{k}} c_{\vec{k}\uparrow}^\dagger c_{-\vec{k}\downarrow}^\dagger - b_{\vec{k}}^* b_{\vec{k}}\} \\ &= \sum_{\vec{k},s} \xi_{\vec{k}} c_{\vec{k}s}^\dagger c_{\vec{k}s} - \sum_{\vec{k}} \{ \Delta^* c_{-\vec{k}\downarrow} c_{\vec{k}\uparrow} + \Delta c_{\vec{k}\uparrow}^\dagger c_{-\vec{k}\downarrow}^\dagger - \Delta^* b_{\vec{k}} \} \end{aligned}$$

with
$$\Delta^* = -g \sum_{\vec{k}'} b_{\vec{k}'}, \quad \Delta = -g \sum_{\vec{k}'} b_{\vec{k}'}$$

BCS mean field theory

$$\begin{aligned}\mathcal{H}_{mf} &= \sum_{\vec{k},s} \xi_{\vec{k}} c_{\vec{k}s}^\dagger c_{\vec{k}s} + g \sum_{\vec{k},\vec{k}'} \{b_{\vec{k}}^* c_{-\vec{k}\downarrow} c_{\vec{k}\uparrow} + b_{\vec{k}} c_{\vec{k}\uparrow}^\dagger c_{-\vec{k}\downarrow}^\dagger - b_{\vec{k}}^* b_{\vec{k}'}\} \\ &= \sum_{\vec{k},s} \xi_{\vec{k}} c_{\vec{k}s}^\dagger c_{\vec{k}s} - \sum_{\vec{k}} \left\{ \Delta^* c_{-\vec{k}\downarrow} c_{\vec{k}\uparrow} + \Delta c_{\vec{k}\uparrow}^\dagger c_{-\vec{k}\downarrow}^\dagger - \Delta^* b_{\vec{k}} \right\}\end{aligned}$$

find quasiparticle states with $\frac{\partial}{\partial t} \gamma_{\vec{k}}^\dagger = i[\mathcal{H}_{mf}, \gamma_{\vec{k}}^\dagger] = E_{\vec{k}} \gamma_{\vec{k}}^\dagger$

Bogolyubov-transformation

$$\begin{aligned}c_{\vec{k}\uparrow} &= u_{\vec{k}}^* \gamma_{\vec{k}1} + v_{\vec{k}} \gamma_{\vec{k}2}^\dagger \\ c_{-\vec{k}\downarrow}^\dagger &= -v_{\vec{k}}^* \gamma_{\vec{k}1} + u_{\vec{k}} \gamma_{\vec{k}2}^\dagger\end{aligned} \quad |u_{\vec{k}}|^2 + |v_{\vec{k}}|^2 = 1$$

→ quasiparticle energy $E_{\vec{k}} = \sqrt{\xi_{\vec{k}}^2 + \Delta^2}$

→ $\mathcal{H} = \sum_{\vec{k}} [\xi_{\vec{k}} - E_{\vec{k}} + \Delta b_{\vec{k}}] + \sum_{\vec{k}} E_{\vec{k}} (\gamma_{\vec{k}1}^\dagger \gamma_{\vec{k}1} + \gamma_{\vec{k}2}^\dagger \gamma_{\vec{k}2})$

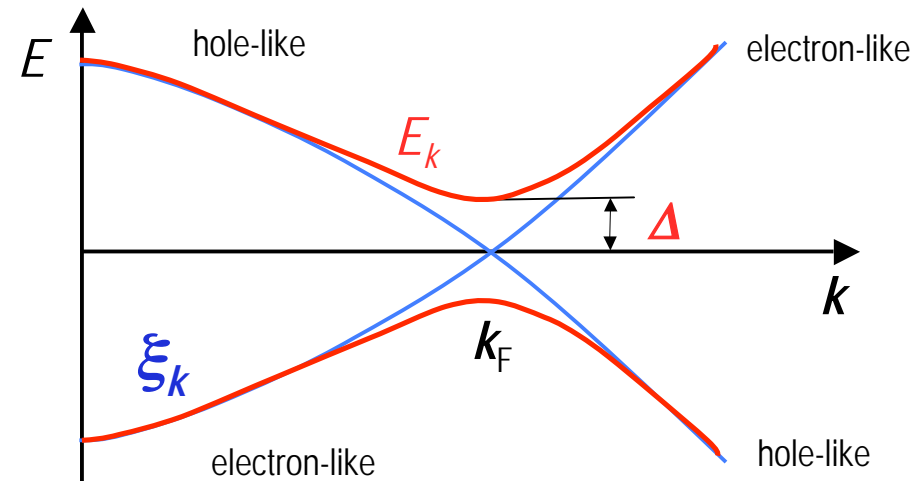
Quasiparticle Spectrum

$$\mathcal{H} = \sum_{\vec{k}} [\xi_{\vec{k}} - E_{\vec{k}} + \Delta b_{\vec{k}}] + \sum_{\vec{k}} E_{\vec{k}} (\gamma_{\vec{k}1}^\dagger \gamma_{\vec{k}1} + \gamma_{\vec{k}2}^\dagger \gamma_{\vec{k}2})$$

$$E_{\vec{k}} = \sqrt{\xi_{\vec{k}}^2 + \Delta^2}$$

quasiparticle excitation gap: Δ

condensation energy gain due to gap



Self-consistence equation:

Fermi distribution function

$$f(E) = \frac{1}{1 + e^{E/k_B T}}$$

$$\Delta = -g \sum_{\vec{k}} b_{\vec{k}} = -g \sum_{\vec{k}} u_{\vec{k}}^* v_{\vec{k}} [1 - f(E_{\vec{k}})]$$

$$= -g \sum_{\vec{k}} \frac{\Delta}{2E_{\vec{k}}} \tanh\left(\frac{E_{\vec{k}}}{k_B T}\right)$$

solution only for $g < 0$ attractive

critical temperature

continuous transition (2nd order) \longrightarrow linearized gap equation

$$T \rightarrow T_c \quad \Leftrightarrow \quad \Delta \rightarrow 0 \quad \Delta = -g\Delta \sum_{\vec{k}} \frac{1}{2\xi_{\vec{k}}} \tanh\left(\frac{\xi_{\vec{k}}}{2k_B T}\right)$$

$$1 = -g \sum_{\vec{k}} \frac{1}{2\xi_{\vec{k}}} \tanh\left(\frac{\xi_{\vec{k}}}{2k_B T}\right) = -g \int d\xi \frac{N(\xi)}{2\xi} \tanh\left(\frac{\xi}{2k_B T_c}\right)$$

$N(\xi)$: electron density of states Interaction with characteristic energy scale
cutoff

$$1 = -gN(0) \int_{-\epsilon_c}^{\epsilon_c} \frac{d\xi}{2\xi} \tanh\left(\frac{\xi}{2k_B T_c}\right) = -gN(0) \ln\left(\frac{1.14\epsilon_c}{k_B T_c}\right)$$

constant density
of states between
 $-\epsilon_c$ and $+\epsilon_c$



$$k_B T_c = 1.14\epsilon_c e^{-1/|g|N(0)}$$

Zero-temperature

Gap at $T=0$: $1 = -gN(0) \int_0^{\epsilon_c} \frac{d\xi}{\sqrt{\xi^2 + \Delta^2}} = -gN(0) \sinh^{-1} \frac{\epsilon_c}{\Delta}$

\rightarrow $\Delta \approx 2\epsilon_c e^{-1/|g|N(0)} = 1.764k_B T_c$

Condensation energy at $T=0$: $E_{cond} = E_s - E_n$ energy gain relative to normal state

$$E_{cond} = \sum_{\vec{k}} [\xi_{\vec{k}} - E_{\vec{k}} + \Delta b_{\vec{k}}] = \underline{-\frac{1}{2}N(0)|\Delta|^2}$$

depends on density of states at the Fermi surface and the gap magnitude

weak-coupling approach

Zero-temperature

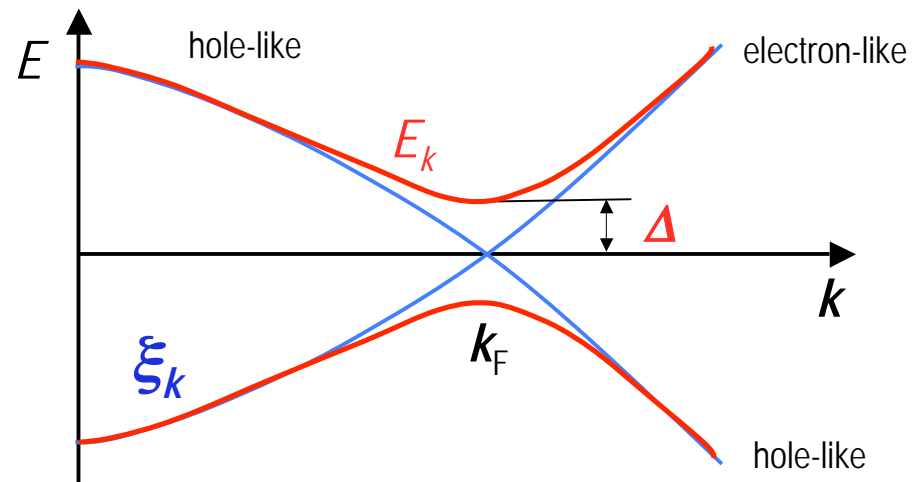
Gap at $T=0$: $1 = -gN(0) \int_0^{\epsilon_c} \frac{d\xi}{\sqrt{\xi^2 + \Delta^2}} = -gN(0) \sinh^{-1} \frac{\epsilon_c}{\Delta}$

→ $\Delta \approx 2\epsilon_c e^{-1/|g|N(0)} = 1.764k_B T_c$

Condensation energy at $T=0$: $E_{cond} = E_s - E_n$ energy gain relative to normal state

$$E_{cond} = -\frac{1}{2} N(0) |\Delta|^2$$

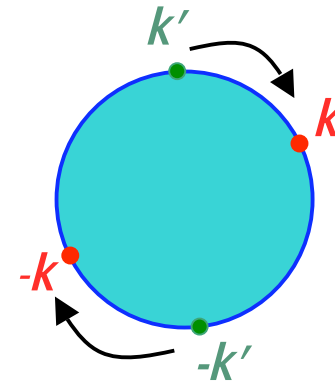
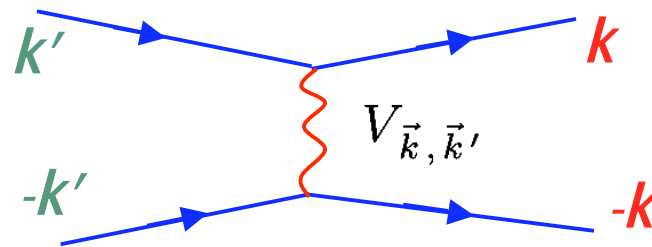
modification of the quasiparticle spectrum



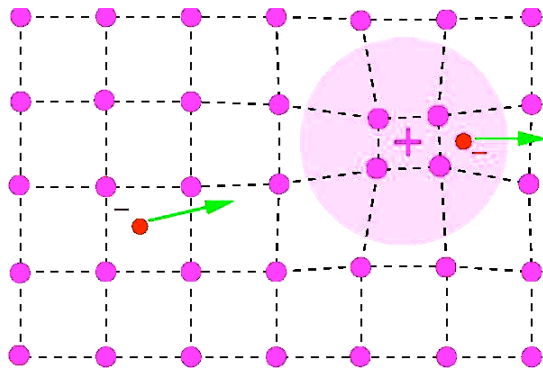
Pairing interaction

Cooper pair formation (bound state of 2 electrons) needs attractive interaction

$$\mathcal{H}_{pair} = \frac{1}{2\Omega} \sum_{\vec{k}, \vec{k}'} \sum_{s, s'} V_{\vec{k}, \vec{k}'} c_{\vec{k}, s}^\dagger c_{-\vec{k}, s'}^\dagger c_{-\vec{k}', s'} c_{\vec{k}', s}$$



electron phonon interaction:



electrons polarize their environment

renormalized Coulomb interaction

$$V_{\vec{k}, \vec{k}'} = \frac{4\pi e^2}{q^2} \quad \longrightarrow \quad V_{\vec{k}, \vec{k}'} = \frac{4\pi e^2}{q^2 \epsilon(\vec{q}, \omega)}$$

electron-phonon versus Coulomb interaction

Polarization effects:

$$\frac{1}{\epsilon(\vec{q}, \omega)} \approx \frac{q^2}{q^2 + k_{TF}^2} + \frac{q^2}{q^2 + k_{TF}^2} \frac{\omega_{\vec{q}}^2}{\omega^2 - \omega_{\vec{q}}^2}$$

$$\text{with } k_{TF}^2 = \frac{6\pi e^2 n_e}{\epsilon_F}$$

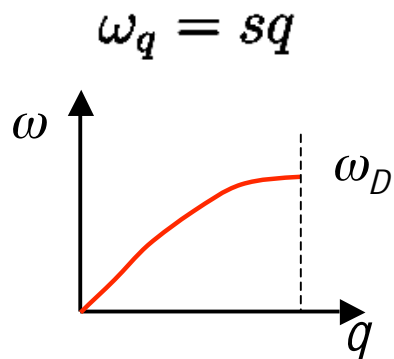
Thomas-Fermi screening length $\lambda_{TF} = k_{TF}^{-1} \sim 5 - 10 \text{ \AA}$

$$V_{\vec{k}, \vec{k}'} = \frac{4\pi e^2}{q^2 \epsilon(\vec{q}, \omega)} = \underbrace{\frac{4\pi e^2}{q^2 + k_{TF}^2}}_{\text{renorm. Coulomb}} + \underbrace{\frac{4\pi e^2}{q^2 + k_{TF}^2} \frac{\omega_q^2}{\omega^2 - \omega_q^2}}_{\text{electron-phonon}}$$

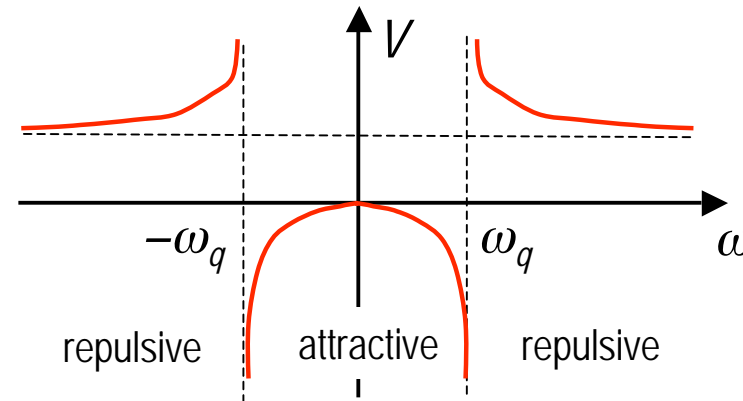
$$\vec{q} = \vec{k} - \vec{k}'$$

$$\omega = \epsilon_{\vec{k}} - \epsilon_{\vec{k}'}$$

phonon spectrum

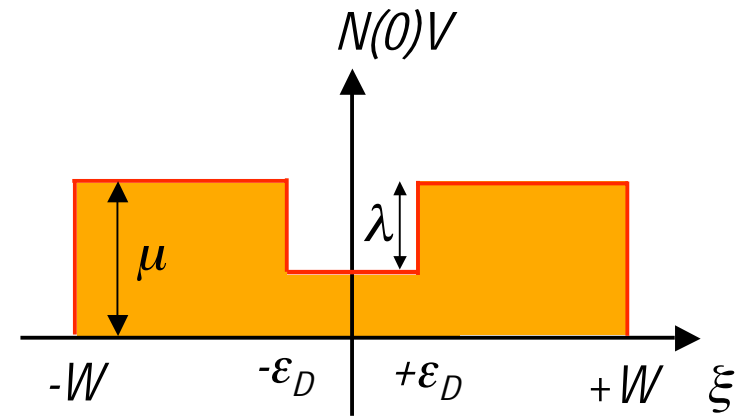
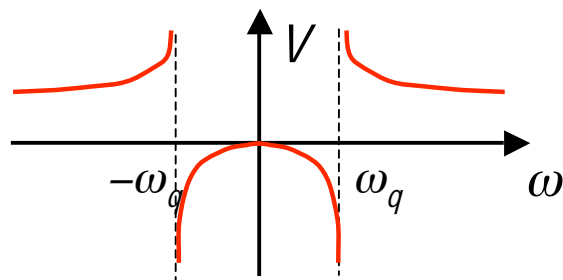


Debye frequency:
characteristic
energy scale

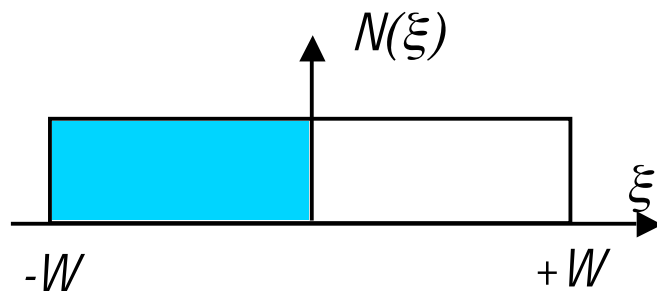


Poor-man's model

Anderson & Morel (1962)



poor man's electron band:



band width: $2W$

constant density of states: $N(\xi) = N(0)$

poor man's interaction:

$$V_{k,k'} = V(\xi, \xi') = V_C + V_{ep}$$

• repulsive part

$$N(0)V_C = \begin{cases} \mu & |\xi, \xi'| < W \\ 0 & \text{otherwise} \end{cases}$$

• attractive part

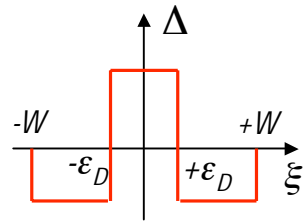
$$N(0)V_{ep} = \begin{cases} -\lambda & |\xi, \xi'| < \varepsilon_D \\ 0 & \text{otherwise} \end{cases}$$

Poor-man's model

Anderson & Morel (1962)

linearized self-consistent gap equation:

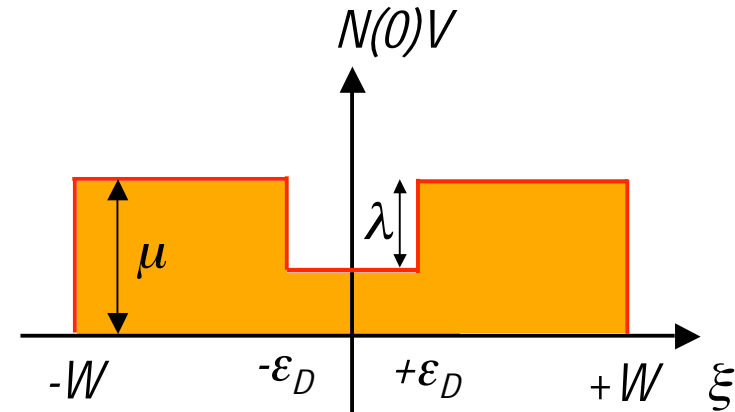
$$\Delta(\xi) = -N_0 \int d\xi' \tilde{V}(\xi, \xi') \frac{\tanh(\beta\xi'/2)}{\xi'} \Delta(\xi')$$



$$\Delta(\xi) = \begin{cases} \Delta_1 & |\xi| < \epsilon_D \\ \Delta_2 & \epsilon_D < |\xi| < W \end{cases}$$

$$\begin{aligned} \Delta_1 &= (\lambda - \mu)\Delta_1 \int_0^{\epsilon_D} d\xi' \frac{\tanh(\beta\xi'/2)}{\xi'} - \mu\Delta_2 \int_{\epsilon_D}^W d\xi' \frac{\tanh(\beta\xi'/2)}{\xi'} \\ &= (\lambda - \mu)\Delta_1 \ln(1.14\epsilon_D/k_B T) - \mu\Delta_2 \ln(W/\epsilon_D) \end{aligned}$$

$$\begin{aligned} \Delta_2 &= -\mu\Delta_1 \int_0^{\epsilon_D} d\xi' \frac{\tanh(\beta\xi'/2)}{\xi'} - \mu\Delta_2 \int_{\epsilon_D}^W d\xi' \frac{\tanh(\beta\xi'/2)}{\xi'} \\ &= -\mu\Delta_1 \ln(1.14\epsilon_D/k_B T) - \mu\Delta_2 \ln(W/\epsilon_D) \end{aligned}$$



poor man's interaction:

$$V_{k,k'} = V(\xi, \xi') = V_C + V_{ep}$$

• repulsive part

$$N(0)V_C = \begin{cases} \mu & |\xi, \xi'| < W \\ 0 & \text{otherwise} \end{cases}$$

• attractive part

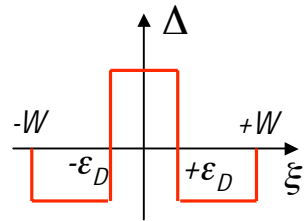
$$N(0)V_{ep} = \begin{cases} -\lambda & |\xi, \xi'| < \epsilon_D \\ 0 & \text{otherwise} \end{cases}$$

Poor-man's model

Anderson & Morel (1962)

linearized self-consistent gap equation:

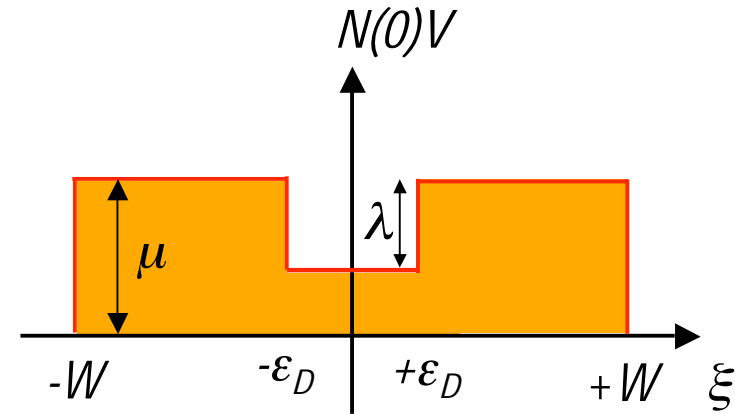
$$\Delta(\xi) = -N_0 \int d\xi' \tilde{V}(\xi, \xi') \frac{\tanh(\beta\xi'/2)}{\xi'} \Delta(\xi')$$



$$\Delta(\xi) = \begin{cases} \Delta_1 & |\xi| < \epsilon_D \\ \Delta_2 & \epsilon_D < |\xi| < W \end{cases}$$

$$\begin{aligned} \Delta_1 &= (\lambda - \mu)\Delta_1 \int_0^{\epsilon_D} d\xi' \frac{\tanh(\beta\xi'/2)}{\xi'} - \mu\Delta_2 \int_{\epsilon_D}^W d\xi' \frac{\tanh(\beta\xi'/2)}{\xi'} \\ &= (\lambda - \mu)\Delta_1 \ln(1.14\epsilon_D/k_B T) - \mu\Delta_2 \ln(W/\epsilon_D) \end{aligned}$$

$$\begin{aligned} \Delta_2 &= -\mu\Delta_1 \int_0^{\epsilon_D} d\xi' \frac{\tanh(\beta\xi'/2)}{\xi'} - \mu\Delta_2 \int_{\epsilon_D}^W d\xi' \frac{\tanh(\beta\xi'/2)}{\xi'} \\ &= -\mu\Delta_1 \ln(1.14\epsilon_D/k_B T) - \mu\Delta_2 \ln(W/\epsilon_D) \end{aligned}$$



transition temperature T_c

$$k_B T_c = 1.14\epsilon_D \exp\left(-\frac{1}{\lambda - \mu^*}\right)$$

renormalized Coulomb repulsion

$$\mu^* = \frac{\mu}{1 + \mu \ln(W/\epsilon_D)}$$

Retardation effect:

Coulomb	fast	$\frac{W}{\epsilon_D}$
electron-phonon	slow	ϵ_D

→ $T_c \neq 0$ even if $\lambda < \mu$

Retardation effect:

weak-coupling regime $\lambda \ll 1$

$$k_B T_c = 1.14 \epsilon_D \exp\left(-\frac{1}{\lambda - \mu^*}\right)$$

strong-coupling regime $\lambda > 1$

$$k_B T_c = 0.7 \epsilon_D \exp\left(-\frac{1.04(1 + \lambda)}{\lambda - \mu^*(1 + 0.62\lambda)}\right)$$

Eliashberg, McMillan (68)

renormalized Coulomb repulsion

$$\mu^* = \frac{\mu}{1 + \mu \ln(W/\epsilon_D)}$$

Important: $\frac{W}{\epsilon_D} \sim \frac{T_F}{T_D} \gg 1$

Metallic strongly correlated
electron systems

small energy scales: T_F
small band widths: W



strong effect of Coulomb repulsion

handy-cap for electron-phonon mediated
superconductivity

When Coulomb repulsion is too strong
for electron-phonon induced pairing

Alternative ways to superconductivity

Alternative ways to Cooper pairing

Coulomb and electron-phonon interaction very short-ranged (λ_{TF}) *"contact interaction"*

Bound Cooper pair wavefunction:

$$\psi(\vec{r}, s; \vec{r}', s') = f(|\vec{r} - \vec{r}'|)\chi(s, s')$$

with $f(r \rightarrow 0) \neq 0$

relative angular momentum $l=0$
important for "contact interaction"

How to avoid Coulomb repulsion?

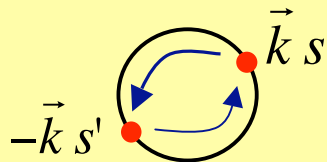
higher-angular momentum pairing

$$l > 0 \rightarrow f(r \rightarrow 0) \propto r^l$$

"contact interaction" not effective

Symmetry of pairs of identical electrons: $\Psi_{ss'}(\vec{k}) = \langle \hat{c}_{\vec{k}s} \hat{c}_{-\vec{k}s'} \rangle = \underbrace{\Phi(\vec{k})}_{\text{orbital}} \underbrace{\chi(s, s')}_{\text{spin}}$

wave function totally antisymmetric
under particle exchange



$$\vec{k} \rightarrow -\vec{k} \quad s \leftrightarrow s'$$

even parity: $l = 0, 2, 4, \dots$, $S = 0$ singlet
even odd

odd parity: $l = 1, 3, 5, \dots$, $S = 1$ triplet
odd even

Requirements for the formation of Cooper pairs

Anderson's Theorems (1959, 1984)

Cooper pair formation with $P=0$ relies on symmetries which guarantee **degenerate partner electrons**

- Spin singlet pairing: time reversal symmetry

$$|\vec{k} \uparrow\rangle \quad T|\vec{k} \uparrow\rangle = |-\vec{k} \downarrow\rangle$$

harmful:
magnetic impurities
ferromagnetism
paramagnetic limiting

- Spin triplet pairing: time reversal & inversion symmetry

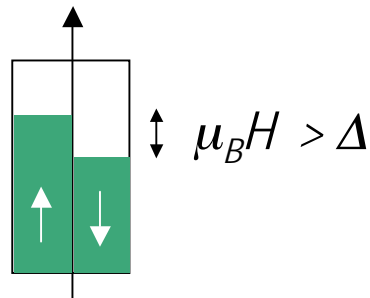
$$|\vec{k} \uparrow\rangle \quad I|\vec{k} \uparrow\rangle = |-\vec{k} \uparrow\rangle \quad T|\vec{k} \uparrow\rangle = |-\vec{k} \downarrow\rangle \quad IT|\vec{k} \uparrow\rangle = |\vec{k} \downarrow\rangle$$

harmful: crystal structure without inversion center

Paramagnetic limiting: *lack of time reversal symmetry*

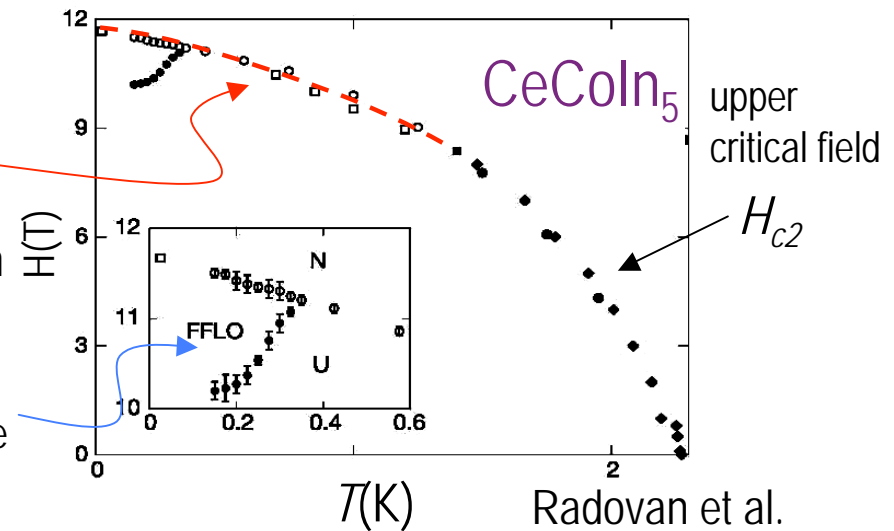
Zeeman splitting of Fermi surfaces exceeds the gap magnitude

 No singlet pairing possible



Paramagnetic suppression
1st order transition

modulated Fulde-Ferrel-Larkin-Ovchinnikov phase

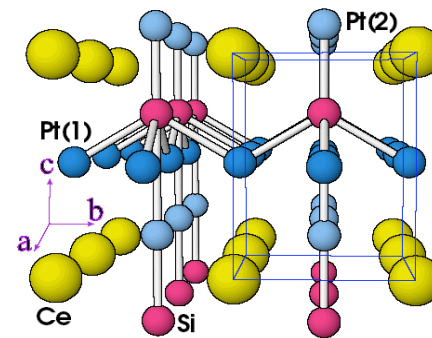


Antisymmetric spin-orbit coupling: *lack of inversion symmetry*

Crystal structure without an inversion center

e.g. $CePt_3Si$

no mirror plane for $z \rightarrow -z$



Bauer et al.

Alternative mechanism for Cooper pairing

Pairing from purely repulsive interactions: Kohn & Luttinger (1965)

screened Coulomb potential in metal has long-ranged oscillatory tail (sharp Fermi edge)

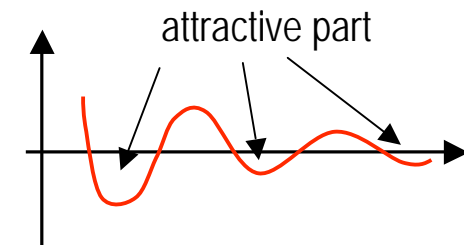
Friedel oscillations:

$$V(r) \propto r^{-3} \cos(2k_F r)$$

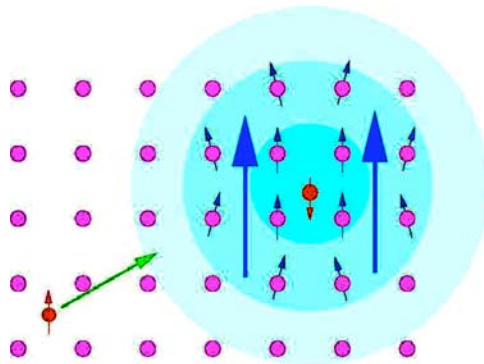
pairing in high-angular
momentum channel $l > 0$

$$T_c/T_F \sim \exp\{-(2l)^4\}$$

very low !



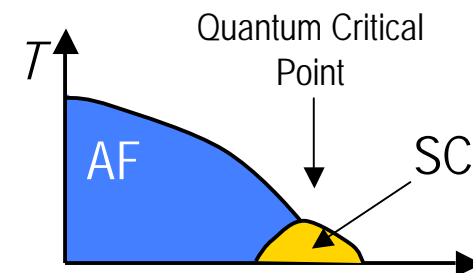
Pairing by magnetic fluctuations: Berk & Schrieffer (1966)



easily spin polarizable medium
longer ranged interaction



T_c reasonable for higher
angular momentum pairing



Spin fluctuation exchange mechanism

Exchange interaction:
$$\mathcal{H}_{ex} = \int d^3r d^3r' U \delta(\vec{r} - \vec{r}') \rho_{\uparrow}(\vec{r}) \rho_{\downarrow}(\vec{r}')$$

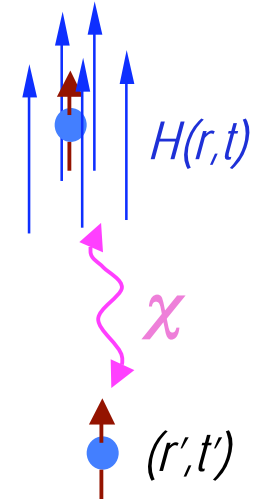
→ spin-induced local "magnetic field"
$$\vec{H}(\vec{r}, t) = -\frac{I}{\mu_B \hbar} \vec{S}(\vec{r}, t)$$

 $I = U/\Omega$

induced spin polarization:

dynamical spin susceptibility
↓

$$\vec{S}(\vec{r}', t') = \mu_B \int d^3r dt \chi(\vec{r}' - \vec{r}, t' - t) \vec{H}(\vec{r}, t)$$



Spin density-spin density interaction:

$$\mathcal{H}_{sf} = -\frac{I^2}{2\hbar^2} \int d^3r d^3r' \{ \chi(\vec{r} - \vec{r}', t - t') - \chi(\vec{r}' - \vec{r}, t' - t) \} \vec{S}(\vec{r}, t) \cdot \vec{S}(\vec{r}', t')$$

simplified spin fluctuation exchange model

Spin fluctuation exchange mechanism

effective pairing interaction:

$$\mathcal{H}'_{sf} = \sum_{\vec{k}, \vec{k}'} \sum_{s_1, s_2, s_3, s_4} V_{\vec{k}, \vec{k}'; s_1 s_2 s_3 s_4} c_{\vec{k}, s_1}^\dagger c_{-\vec{k}, s_2}^\dagger c_{-\vec{k}', s_3} c_{\vec{k}', s_4}$$

$$V_{\vec{k}, \vec{k}'; s_1 s_2 s_3 s_4} = -\frac{I^2}{4} \text{Re} \chi(\vec{k} - \vec{k}', \omega = \epsilon_{\vec{k}} - \epsilon_{\vec{k}'}) \vec{\sigma}_{s_1 s_4} \cdot \vec{\sigma}_{s_2 s_3}$$

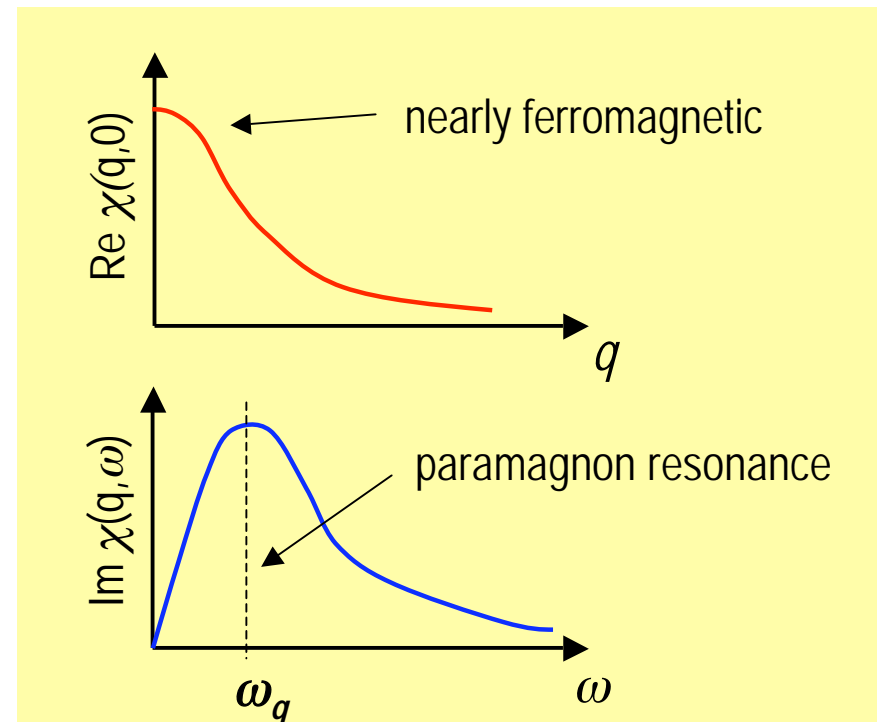
dynamical spin susceptibility:

$$\chi(\vec{q}, \omega) = \frac{\chi_0(\vec{q}, \omega)}{1 - I\chi_0(\vec{q}, \omega)} \quad \text{RPA}$$

for isotropic electron gas:

$$\chi_0(\vec{q}, \omega) \approx N(0) \left(1 - \frac{\vec{q}^2}{12k_F^2} + i\frac{\pi}{2} \frac{\omega}{v_F |\vec{q}|} \right)$$

$$q \ll 2k_F, \quad \omega \ll \epsilon_F$$



Spin fluctuation exchange mechanism

effective pairing interaction:

$$\mathcal{H}'_{sf} = \sum_{\vec{k}, \vec{k}'} \sum_{s_1, s_2, s_3, s_4} V_{\vec{k}, \vec{k}'; s_1 s_2 s_3 s_4} c_{\vec{k}, s_1}^\dagger c_{-\vec{k}, s_2}^\dagger c_{-\vec{k}', s_3} c_{\vec{k}', s_4}$$

$$V_{\vec{k}, \vec{k}'; s_1 s_2 s_3 s_4} = -\frac{I^2}{4} \text{Re}\chi(\vec{k} - \vec{k}', \omega = \epsilon_{\vec{k}} - \epsilon_{\vec{k}'}) \vec{\sigma}_{s_1 s_4} \cdot \vec{\sigma}_{s_2 s_3}$$

Cooper spin channels:

$$V_{\vec{k}, \vec{k}'}^s = \frac{3I^2}{4} \text{Re}\chi(\vec{k} - \vec{k}', \omega = \epsilon_{\vec{k}} - \epsilon_{\vec{k}'}) \quad S=0 \text{ spin singlet}$$

$$V_{\vec{k}, \vec{k}'}^t = -\frac{I^2}{4} \text{Re}\chi(\vec{k} - \vec{k}', \omega = \epsilon_{\vec{k}} - \epsilon_{\vec{k}'}) \quad S=1 \text{ spin triplet}$$

$|k-k'| \ll k_F$ $S=0$: repulsive $S=1$: attractive

Spin fluctuation exchange mechanism

Pairing for spin triplet $l=1$ (p -wave):

angular structure of gap function

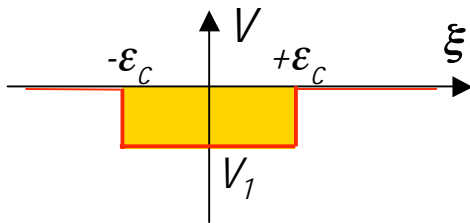
$$\Delta_{\vec{k}} = \Delta g_{\vec{k}}$$

$$g_{\vec{k}}^{\alpha} = \begin{cases} \frac{1}{\sqrt{2}k_F}(k_x + ik_y) & \alpha = +1 \\ \frac{k_z}{k_F} & \alpha = 0 \\ \frac{1}{\sqrt{2}k_F}(k_x - ik_y) & \alpha = -1 \end{cases}$$

Projected effective interaction:

$$V(\xi, \xi') = -\frac{I^2}{4\Omega} \sum_{\vec{k}, \vec{k}'} g_{\vec{k}}^{\alpha} \chi(\vec{k} - \vec{k}', \omega = 0) g_{\vec{k}'}^{\alpha} \delta(\xi - \xi_{\vec{k}}) \delta(\xi' - \xi_{\vec{k}'}) \approx \begin{cases} V_1 & |\xi|, |\xi'| < \epsilon_c \\ 0 & \text{otherwise} \end{cases}$$

$$V_1 = -\frac{I}{12} \frac{IN(0)}{(1 - IN(0))^2}$$



$$k_B T_c = 1.14 \epsilon_c e^{-1/\lambda_s} \quad \lambda_s = N(0) V_1$$

characteristic energy: paramagnon spectrum

$$\epsilon_c = \frac{8}{\pi IN(0)} (1 - IN(0)) E_F$$

Spin fluctuation exchange mechanism

Stoner instability criterion:

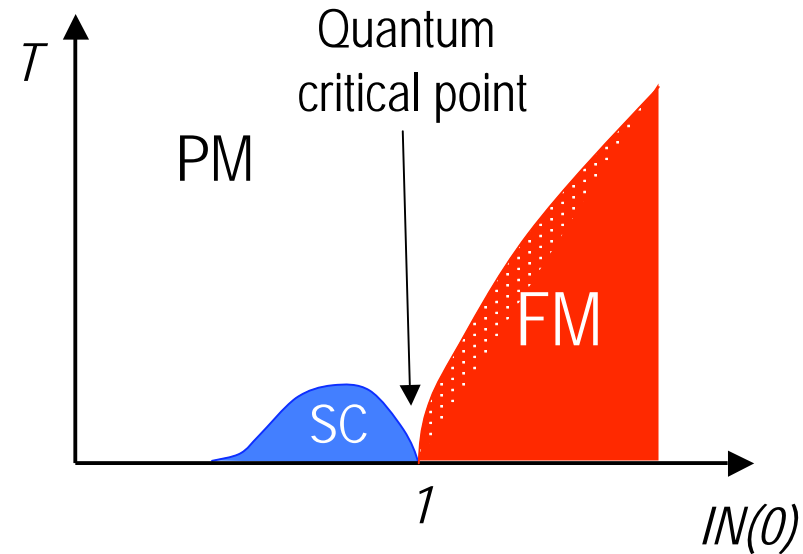
$$IN(0) = 1$$

Quantum phase transition
Paramagnet \rightarrow Ferromagnet

$$V_1 \rightarrow \infty$$

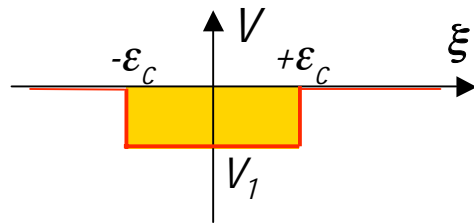
$$\epsilon_c \rightarrow 0$$

$$\xi_{FM} \rightarrow \infty \quad \text{FM correlation length}$$



more detailed analysis: Monthoux & Lonzarich (1999- ...)

$$V_1 = -\frac{I}{12} \frac{IN(0)}{(1 - IN(0))^2}$$



$$k_B T_c = 1.14 \epsilon_c e^{-1/\lambda_s} \quad \lambda_s = N(0) V_1$$

characteristic energy: paramagnon spectrum

$$\epsilon_c = \frac{8}{\pi IN(0)} (1 - IN(0)) E_F$$

Generalized BCS theory

New aspects

Generalized formulation of the BCS mean field theory

BCS Hamiltonian:

$$\mathcal{H} = \sum_{\vec{k}, s} \xi_{\vec{k}} c_{\vec{k}s}^\dagger c_{\vec{k}s} + \frac{1}{2} \sum_{\vec{k}, \vec{k}'} \sum_{s_1, s_2, s_3, s_4} V_{\vec{k}, \vec{k}'; s_1 s_2 s_3 s_4} c_{\vec{k}s_1}^\dagger c_{-\vec{k}s_2}^\dagger c_{-\vec{k}'s_3} c_{\vec{k}'s_4}$$

Mean field Hamiltonian:

$$\begin{aligned} \mathcal{H}_{mf} = & \sum_{\vec{k}, s} \xi_{\vec{k}} c_{\vec{k}s}^\dagger c_{\vec{k}s} - \frac{1}{2} \sum_{\vec{k}, s_1, s_2} \left[\Delta_{\vec{k}, s_1 s_2} c_{\vec{k}s_1}^\dagger c_{-\vec{k}s_2}^\dagger + \Delta_{\vec{k}, s_1 s_2}^* c_{\vec{k}s_1} c_{-\vec{k}s_2} \right] \\ & - \frac{1}{2} \sum_{\vec{k}, \vec{k}'} \sum_{s_1, s_2, s_3, s_4} V_{\vec{k}, \vec{k}'; s_1 s_2 s_3 s_4} \langle c_{\vec{k}s_1}^\dagger c_{-\vec{k}s_2}^\dagger \rangle \langle c_{-\vec{k}'s_3} c_{\vec{k}'s_4} \rangle \end{aligned}$$

Self-consistence equations:

$$\Delta_{\vec{k}, s s'} = - \sum_{\vec{k}', s_3 s_4} V_{\vec{k}, \vec{k}'; s s' s_3 s_4} \langle c_{\vec{k}'s_3} c_{-\vec{k}'s_4} \rangle$$

$$\Delta_{\vec{k}, s s'}^* = - \sum_{\vec{k}' s_1 s_2} V_{\vec{k}', \vec{k}; s_1 s_2 s' s} \langle c_{\vec{k}'s_1}^\dagger c_{-\vec{k}'s_2}^\dagger \rangle$$

gap: 2x2-matrix

$$\hat{\Delta}_{\vec{k}} = \begin{pmatrix} \Delta_{\vec{k}\uparrow\uparrow} & \Delta_{\vec{k}\uparrow\downarrow} \\ \Delta_{\vec{k}\downarrow\uparrow} & \Delta_{\vec{k}\downarrow\downarrow} \end{pmatrix}$$

Self-consistent gap equation

Bogolyubov transformation \longrightarrow Quasiparticle spectrum

$$E_{\vec{k}} = \sqrt{\xi_{\vec{k}}^2 + |\Delta_{\vec{k}}|^2}$$

$$|\Delta_{\vec{k}}|^2 = \frac{1}{2} \text{tr} \left(\hat{\Delta}_{\vec{k}}^\dagger \hat{\Delta}_{\vec{k}} \right)$$

Note: quasiparticle gap is k -dependent

$$\hat{\Delta}_{\vec{k}} = \begin{pmatrix} \Delta_{\vec{k}\uparrow\uparrow} & \Delta_{\vec{k}\uparrow\downarrow} \\ \Delta_{\vec{k}\downarrow\uparrow} & \Delta_{\vec{k}\downarrow\downarrow} \end{pmatrix}$$

Self-consistence equation:

$$\Delta_{\vec{k},s_1s_2} = - \sum_{\vec{k}',s_3s_4} V_{\vec{k},\vec{k}';s_1s_2s_3s_4} \frac{\Delta_{\vec{k}',s_4s_3}}{2E_{\vec{k}}} \tanh \left(\frac{E_{\vec{k}}}{2k_B T} \right)$$

Structure of the gap function

Gap function: 2x2 matrix in spin space

$$\langle c_{-\vec{k}s_1} c_{\vec{k}s_2} \rangle = \phi(\vec{k}) \chi_{s_1 s_2}$$

orbital spin

$$\Delta_{\vec{k},ss'} = - \sum_{\vec{k}',s_3s_4} V_{\vec{k},\vec{k}';ss's_3s_4} \langle c_{\vec{k}'s_3} c_{-\vec{k}'s_4} \rangle$$

$$\Delta_{\vec{k},ss'}^* = - \sum_{\vec{k}',s_1s_2} V_{\vec{k}',\vec{k};s_1s_2s's} \langle c_{\vec{k}'s_1}^\dagger c_{-\vec{k}'s_2}^\dagger \rangle$$

$$\phi(\vec{k}) = \phi(-\vec{k}) \quad \Leftrightarrow \quad \chi_{s_1 s_2} = \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) \quad \text{even parity, spin singlet}$$

$$\phi(\vec{k}) = -\phi(-\vec{k}) \quad \Leftrightarrow \quad \chi_{s_1 s_2} = \begin{cases} |\uparrow\uparrow\rangle \\ \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle) \\ |\downarrow\downarrow\rangle \end{cases} \quad \text{odd parity, spin triplet}$$

$$\Delta_{\vec{k},s_1s_2} = -\Delta_{-\vec{k},s_2s_1} = \begin{cases} \Delta_{-\vec{k},s_1s_2} = -\Delta_{\vec{k},s_2s_1} & \text{even} \\ -\Delta_{-\vec{k},s_1s_2} = \Delta_{\vec{k},s_2s_1} & \text{odd} \end{cases}$$

Structure of the gap function

Gap function: 2x2 matrix in spin space

$$\Delta_{\vec{k},ss'} = - \sum_{\vec{k}',s_3s_4} V_{\vec{k},\vec{k}';ss's_3s_4} \langle c_{\vec{k}'s_3} c_{-\vec{k}'s_4} \rangle$$

$$\Delta_{\vec{k},ss'}^* = - \sum_{\vec{k}',s_1s_2} V_{\vec{k}',\vec{k};s_1s_2s's} \langle c_{\vec{k}'s_1}^\dagger c_{-\vec{k}'s_2}^\dagger \rangle$$

Even parity spin singlet

$$\hat{\Delta}_{\vec{k}} = \begin{pmatrix} \Delta_{\vec{k},\uparrow\uparrow} & \Delta_{\vec{k},\uparrow\downarrow} \\ \Delta_{\vec{k},\downarrow\uparrow} & \Delta_{\vec{k},\downarrow\downarrow} \end{pmatrix} = \begin{pmatrix} 0 & \psi(\vec{k}) \\ -\psi(\vec{k}) & 0 \end{pmatrix} = i\hat{\sigma}_y \psi(\vec{k})$$

represented by scalar function $\psi(\vec{k}) = \psi(-\vec{k})$ even $E_{\vec{k}} = \sqrt{\xi_{\vec{k}}^2 + |\psi(\vec{k})|^2}$

Odd parity spin triplet

$$\hat{\Delta}_{\vec{k}} = \begin{pmatrix} -d_x(\vec{k}) + id_y(\vec{k}) & d_z(\vec{k}) \\ d_x(\vec{k}) & d_x(\vec{k}) + id_y(\vec{k}) \end{pmatrix} = i(\vec{d}(\vec{k}) \cdot \hat{\sigma}) \hat{\sigma}_y$$

represented by vector function $\vec{d}(\vec{k}) = -\vec{d}(-\vec{k})$ odd $E_{\vec{k}} = \sqrt{\xi_{\vec{k}}^2 + |\vec{d}(\vec{k})|^2}$

Structure of the gap function

Gap function: 2x2 matrix in spin space

$$\Delta_{\vec{k},ss'} = - \sum_{\vec{k}',s_3s_4} V_{\vec{k},\vec{k}';ss's_3s_4} \langle c_{\vec{k}'s_3}^\dagger c_{-\vec{k}'s_4} \rangle$$

$$\Delta_{\vec{k},ss'}^* = - \sum_{\vec{k}',s_1s_2} V_{\vec{k}',\vec{k};s_1s_2s's} \langle c_{\vec{k}'s_1}^\dagger c_{-\vec{k}'s_2}^\dagger \rangle$$

Even parity spin singlet

$$\hat{\Delta}_{\vec{k}} = \begin{pmatrix} \Delta_{\vec{k},\uparrow\uparrow} & \Delta_{\vec{k},\uparrow\downarrow} \\ \Delta_{\vec{k},\downarrow\uparrow} & \Delta_{\vec{k},\downarrow\downarrow} \end{pmatrix} = \begin{pmatrix} 0 & \psi(\vec{k}) \\ -\psi(\vec{k}) & 0 \end{pmatrix} = i\hat{\sigma}_y \psi(\vec{k})$$

represented by scalar function $\psi(\vec{k}) = \psi(-\vec{k})$ even $E_{\vec{k}} = \sqrt{\xi_{\vec{k}}^2 + |\psi(\vec{k})|^2}$

Odd parity spin triplet

$$\hat{\Delta}_{\vec{k}} = \begin{pmatrix} -d_x(\vec{k}) + id_y(\vec{k}) & d_z(\vec{k}) \\ d_z(\vec{k}) & d_x(\vec{k}) + id_y(\vec{k}) \end{pmatrix} = i(\vec{d}(\vec{k}) \cdot \hat{\sigma}) \hat{\sigma}_y$$

spin configuration $d_x(|\downarrow\downarrow\rangle - |\uparrow\uparrow\rangle) - d_y i(|\downarrow\downarrow\rangle + |\uparrow\uparrow\rangle) + d_z(|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle) \iff \vec{d} \perp \vec{S}$

Transition temperature

Pairing interaction:
$$V_{\vec{k}, \vec{k}'; s_1 s_2 s_3 s_4} = J_{\vec{k}, \vec{k}'}^0 \hat{\sigma}_{s_1 s_4}^0 \hat{\sigma}_{s_2 s_3}^0 + J_{\vec{k}, \vec{k}'} \hat{\sigma}_{s_1 s_4} \cdot \hat{\sigma}_{s_2 s_3}$$

density-density spin-spin

Self-consistence equation:

even parity spin singlet

$$\psi(\vec{k}) = - \sum_{\vec{k}'} \underbrace{(J_{\vec{k}, \vec{k}'}^0 - 3J_{\vec{k}, \vec{k}'})}_{= v_{\vec{k}, \vec{k}'}} \frac{\psi(\vec{k}')}{2E_{\vec{k}'}} \tanh\left(\frac{E_{\vec{k}'}}{2k_B T}\right)$$

$$T \rightarrow T_c$$

$$-\lambda \psi(\vec{k}) = -N(0) \langle v_{\vec{k}, \vec{k}'}^s, \psi(\vec{k}') \rangle_{\vec{k}', FS}$$

odd parity spin triplet

$$\vec{d}(\vec{k}) = - \sum_{\vec{k}'} \underbrace{(J_{\vec{k}, \vec{k}'}^0 + J_{\vec{k}, \vec{k}'})}_{= v_{\vec{k}, \vec{k}'}} \frac{\vec{d}(\vec{k}')}{2E_{\vec{k}'}} \tanh\left(\frac{E_{\vec{k}'}}{2k_B T}\right)$$

$$T \rightarrow T_c$$

$$-\lambda \vec{d}(\vec{k}) = -N(0) \langle v_{\vec{k}, \vec{k}'}^t, \vec{d}(\vec{k}') \rangle_{\vec{k}', FS}$$

eigenvalue λ ➔

$$k_B T_c = 1.14 \epsilon_c e^{-1/\lambda}$$

Some thermodynamic properties

Specific heat discontinuity at $T=T_c$

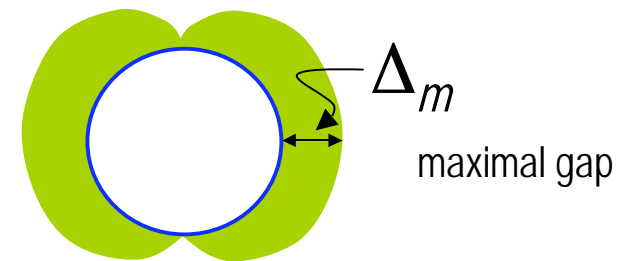
2nd order phase transition \rightarrow discontinuity of specific heat

Entropy and specific heat:

$$S = -\frac{2k_B}{\Omega} \sum_{\vec{k}} \left\{ f(E_{\vec{k}}) \ln(f(E_{\vec{k}})) + (1 - f(E_{\vec{k}})) \ln(1 - f(E_{\vec{k}})) \right\} \Rightarrow$$

$$C = T \frac{dS}{dT} = -\frac{2}{\Omega} \sum_{\vec{k}} E_{\vec{k}} \frac{df(E_{\vec{k}})}{dT} = -\frac{2N(0)}{T} \int_{-\infty}^{+\infty} d\xi \left\langle \frac{\partial f(E_{\vec{k}})}{\partial E_{\vec{k}}} E_{\vec{k}}^2 - \frac{T}{2} \frac{\partial |\Delta_m(T)|^2}{\partial T} |\bar{g}_{\vec{k}}|^2 \right\rangle_{\vec{k}, FS}$$

Gap anisotropy: $|\Delta_{\vec{k}}|^2 = \Delta_m^2 |g_{\vec{k}}|^2$



Specific heat discontinuity:

$$\frac{\Delta C}{C_n} \Big|_{T=T_c} = \frac{C - C_n}{C_n} \Big|_{T=T_c} = 1.43 \frac{\langle |g_{\vec{k}}|^2 \rangle_{\vec{k}, FS}^2}{\langle |g_{\vec{k}}|^4 \rangle_{\vec{k}, FS}} \leq 1.43$$

"universal value"
weak coupling

Low-temperature properties

thermodynamics is dominated by the excited quasiparticles

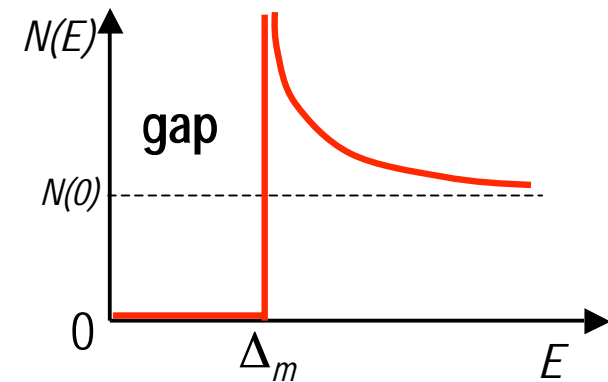
$$E_{\vec{k}} = \sqrt{\xi_{\vec{k}}^2 + |\Delta_{\vec{k}}|^2} \quad |\Delta_{\vec{k}}|^2 = \frac{1}{2} \text{tr} (\hat{\Delta}_{\vec{k}}^\dagger \hat{\Delta}_{\vec{k}}) \quad \Delta_k = \Delta_m g_k$$

key quantity: *density of states* $N(E) = \frac{2}{\Omega} \sum_{\vec{k}} \delta(E_{\vec{k}} - E)$

$$N(E) = N(0) \int \frac{d\Omega_{\vec{k}}}{4\pi} \int d\xi \delta(\sqrt{\xi^2 + |\Delta_m g_{\vec{k}}|^2} - E) = N(0) \int \frac{d\Omega_{\vec{k}}}{4\pi} \frac{E}{\sqrt{E^2 - |\Delta_m g_{\vec{k}}|^2}}$$

Isotropic gap function: $\Delta_k = \Delta_m = \text{const.}$

$$N(E) = N(0) \begin{cases} 0 & |E| < \Delta_m \\ \frac{E}{\sqrt{E^2 - |\Delta_m|^2}} & \Delta_m \geq |E| \end{cases}$$



Low-temperature properties

thermodynamics is dominated by the excited quasiparticles

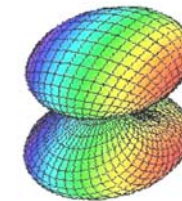
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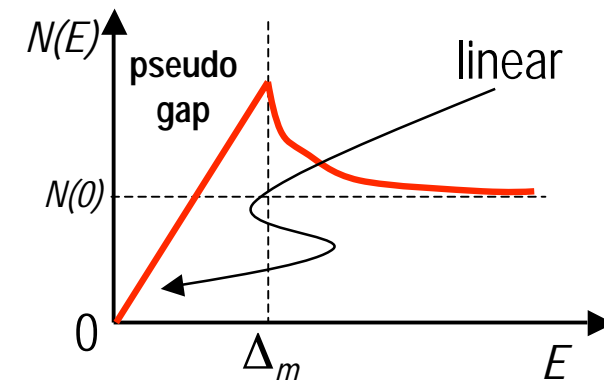
Anisotropic gap function:

$$\Delta_{\vec{k}} = \Delta_m \cos\theta$$



line
node

$$N(E) = N(0) \frac{E}{\Delta_m} \begin{cases} \frac{\pi}{2} & |E| < \Delta_m \\ \arcsin\left(\frac{\Delta_m}{E}\right) & \Delta_m \geq |E| \end{cases}$$



Low-temperature properties

thermodynamics is dominated by the excited quasiparticles

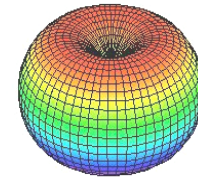
$$E_{\vec{k}} = \sqrt{\xi_{\vec{k}}^2 + |\Delta_{\vec{k}}|^2} \quad |\Delta_{\vec{k}}|^2 = \frac{1}{2} \text{tr} (\hat{\Delta}_{\vec{k}}^\dagger \hat{\Delta}_{\vec{k}}) \quad \Delta_k = \Delta_m g_k$$

key quantity: *density of states* $N(E) = \frac{2}{\Omega} \sum_{\vec{k}} \delta(E_{\vec{k}} - E)$

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Anisotropic gap function:

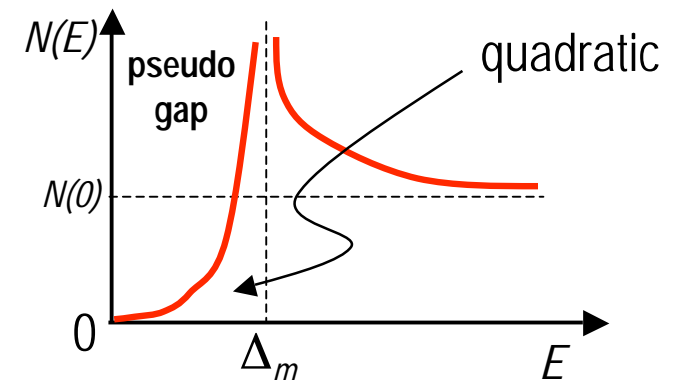
$$\Delta_{\vec{k}} = \Delta_m \sin\theta$$



point
nodes

$$N(E) = N(0) \frac{E}{\Delta_m} \ln \left| \frac{1 + \frac{E}{\Delta_m}}{1 - \frac{E}{\Delta_m}} \right|$$

$$N(E) = A E^2 \text{ for } E \ll \Delta_m$$



Low-temperature properties

Specific heat: restricted to quasiparticle contributions

$$C(T) = \frac{2}{\Omega} \sum_{\vec{k}} E_{\vec{k}} \frac{df(E_{\vec{k}})}{dT} = \int dE N(E) E \frac{df(E)}{dT} = \int dE N(E) \frac{E^2}{k_B T^2} \frac{1}{4 \cosh^2(E/2k_B T)}$$

- **Isotropic gap function:** activated behavior with a real gap (semiconductor-like)

$$C(T) \approx N(0) k_B \left(\frac{\Delta_m}{k_B T} \right)^2 \sqrt{2\pi k_B T \Delta_m} e^{-\Delta_m/k_B T}$$

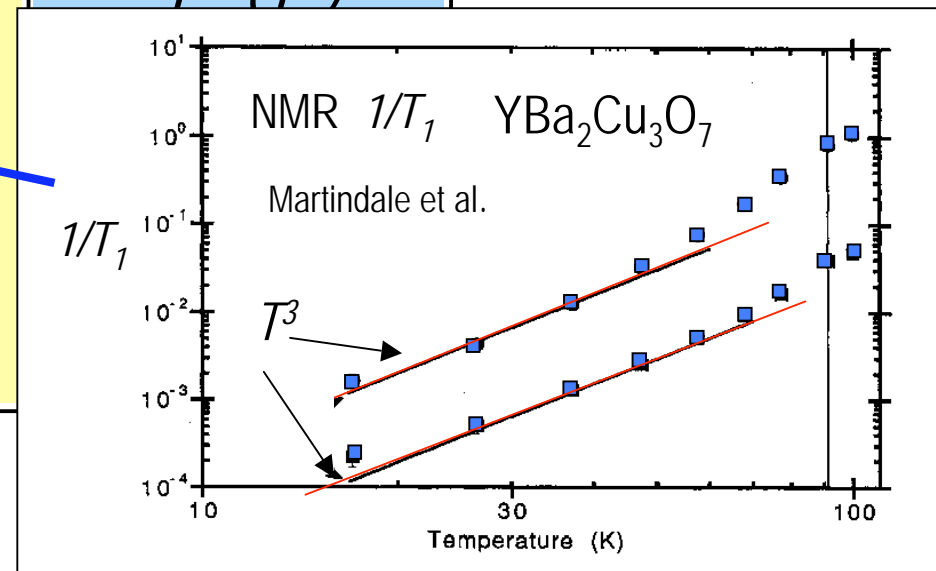
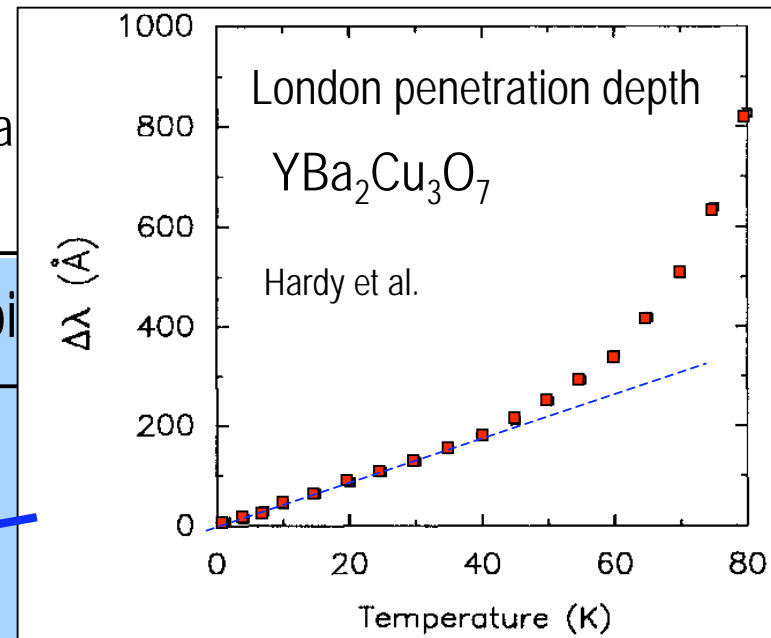
- **Anisotropic gap functions:** contributions from "subgap states" \rightarrow **powerlaws**

$$C(T) = \int dE \underbrace{N(E)}_{\propto E^n} \frac{E^2}{k_B T^2} \frac{1}{4 \cosh^2(E/2k_B T)} \propto T^{n+1} \begin{cases} T^2 & \text{line nodes} \\ T^3 & \text{point nodes} \end{cases}$$

Low-temperature properties

powerlaws in other quantities depending on gap

quantity	line nodes	point nodes
specific heat $C(T)$	T^2	
London penetration depth $\lambda(T)$	T (T^3)	
NMR $1/T_1$	T^3	
heat conductivity $\kappa(T)$	T^2	



high-temperature superconductors
with line nodes in the gap

Other characteristic properties

Impurity scattering - Anderson's theorem (1959)

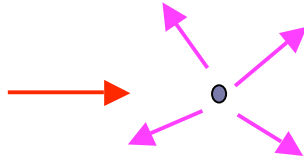
Pure metals:

electron momentum well defined

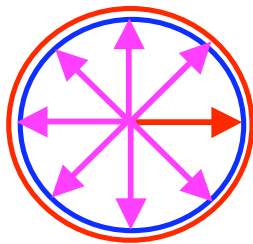


Dirty metals:

impurity scattering (non-magnetic)



momentum averaging over the Fermi surface

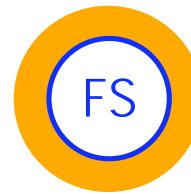


Interference effects for Cooper pairs

$$\langle \Psi(\vec{k}) \rangle_{\vec{k}, FS} = \Psi_0$$

- conventional pairing: $l = 0$ isotropic

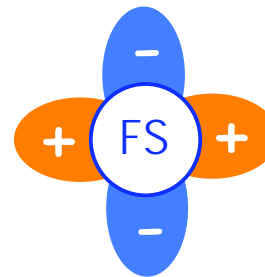
$$\Psi_0 \neq 0$$



momentum average harmless
Anderson's theorem
for non-magnetic impurities

- unconventional pairing: $l > 0$ anisotropic

$$\Psi_0 = 0$$



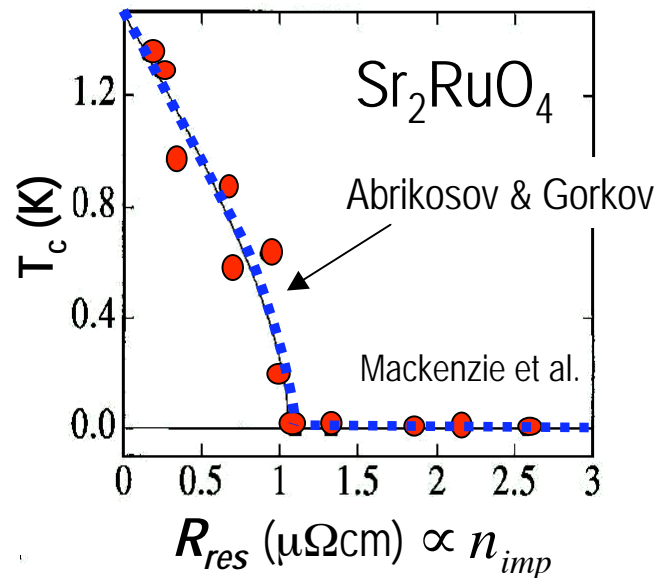
Momentum average
destructive interference

→ **Suppression of superconductivity**

Impurity scattering - Anderson's theorem (1959)

Suppression of T_c

with increasing impurity concentration



mean free path: $l = v_F \tau$

life time: $\tau \propto 1/n_{imp}$

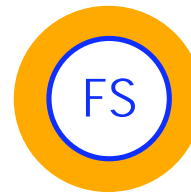
$$T_c \rightarrow 0 \quad k_B T_{c0} \sim \frac{\hbar}{\tau}$$

only clean samples are superconducting

Interference effects for Cooper pairs

$$\langle \Psi(\vec{k}) \rangle_{\vec{k}, FS} = \Psi_0$$

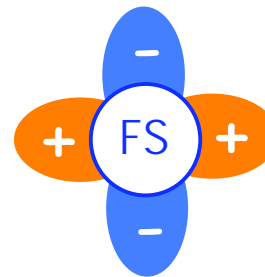
- conventional pairing: $l=0$ isotropic



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momentum average harmless
Anderson's theorem
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- unconventional pairing: $l>0$ anisotropic



$$\Psi_0 = 0$$

Momentum average
destructive interference

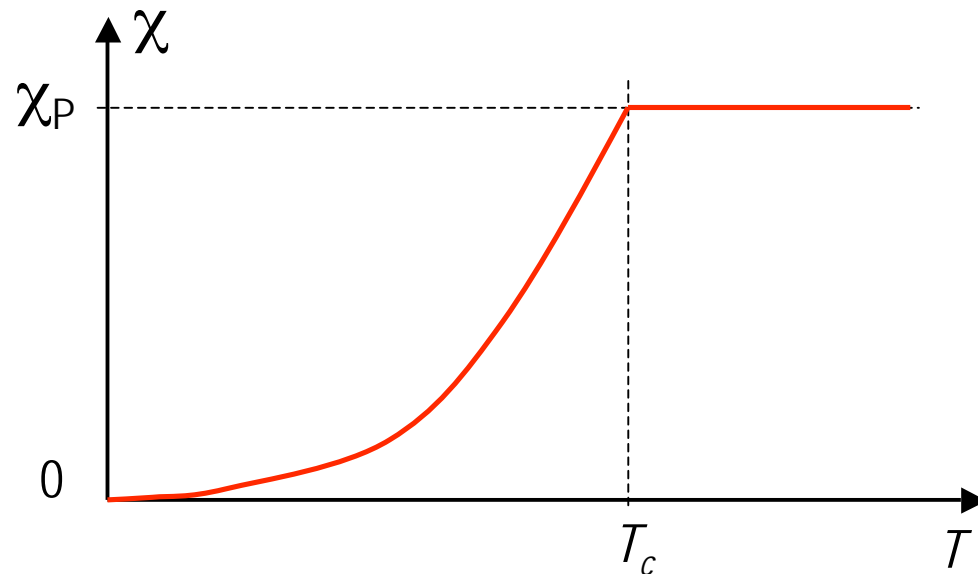
→ **Suppression of superconductivity**

Spin susceptibility

Spin singlet pairing: Spin polarization is pair-breaking

$$\begin{aligned}\chi(T) &= \frac{M(T)}{H} = 2\mu_B^2 N(0) \int \frac{d\Omega_{\vec{k}}}{4\pi} \int \frac{d\xi}{4k_B T \cosh^2(E_{\vec{k}}/2k_B T)} \\ &= \chi_P \int \frac{d\Omega_{\vec{k}}}{4\pi} Y(\hat{k}; T) = \chi_P Y(T)\end{aligned}$$

Yosida function



$$\chi_P = 2\mu_B N(0)$$

Pauli spin susceptibility

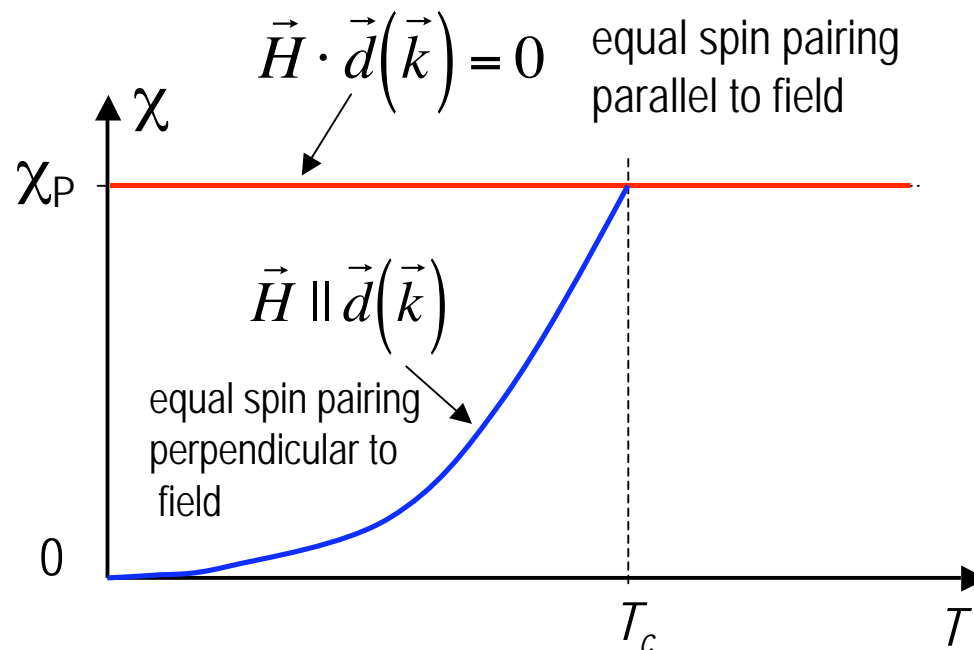
suppression of spin susceptibility
due to the gapped quasiparticle
spectrum

Spin susceptibility

Spin triplet pairing: Spin polarization is **not always** pair-breaking

$$\chi_{\mu\nu}(T) = \chi_P \int \frac{d\Omega_{\vec{k}}}{4\pi} \left\{ \delta_{\mu\nu} - \text{Re} \frac{d_\mu(\vec{k})^* d_\nu(\vec{k})}{|\vec{d}(\vec{k})|^2} (1 - Y(\hat{k}; T)) \right\}$$

Yosida function



$$\chi_P = 2\mu_B N(0)$$

Pauli spin susceptibility

Equal spin pairing:
pairing with parallel spins
in the same direction for
all directions of \mathbf{k}

Coherence Factor - transition probabilities

Nuclear magnetic resonance

$$\mathcal{H}_{I.S} = A \sum_{\vec{k}, \vec{k}'} \sum_{s, s'} \vec{I} \cdot c_{\vec{k}s} \vec{\sigma}_{ss'} c_{\vec{k}'s'}$$

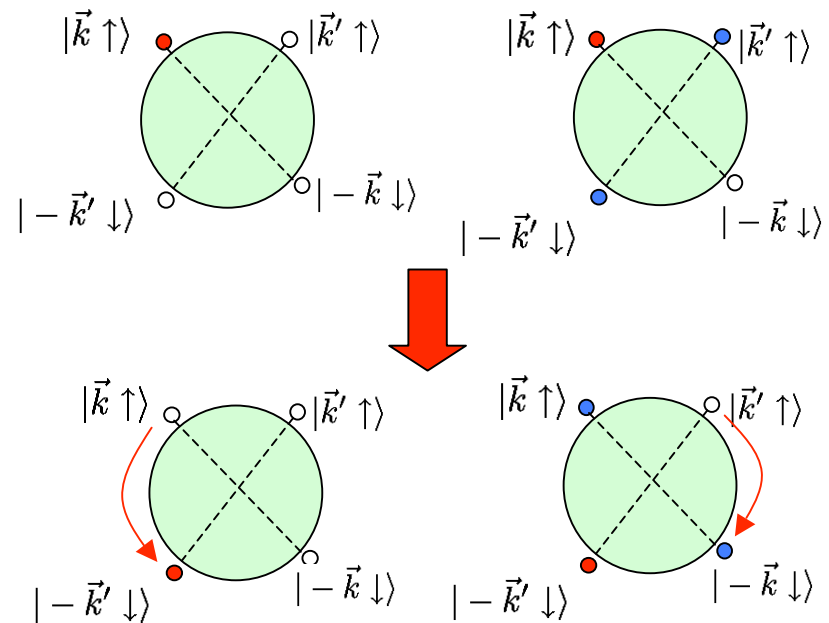
I nuclear spin

spin flip rate: $\alpha_s = W \left(|\vec{k} \uparrow\rangle \rightarrow |-\vec{k}' \downarrow\rangle \right)$

$$|\vec{k} \uparrow\rangle \left(u_{\vec{k}'} + v_{\vec{k}'} |\vec{k}' \uparrow; -\vec{k}' \downarrow\rangle \right)$$



$$\left(u_{\vec{k}} + v_{\vec{k}} |\vec{k} \uparrow; -\vec{k} \downarrow\rangle \right) |-\vec{k}' \uparrow\rangle$$



Coherence Factor - transition probabilities

Nuclear magnetic resonance

$$\mathcal{H}_{I.S} = A \sum_{\vec{k}, \vec{k}'} \sum_{s, s'} \vec{I} \cdot c_{\vec{k}s} \vec{\sigma}_{ss'} c_{\vec{k}'s'} \quad I \text{ nuclear spin}$$

spin flip rate: $\alpha_s = W \left(|\vec{k} \uparrow\rangle \rightarrow |-\vec{k}' \downarrow\rangle \right)$

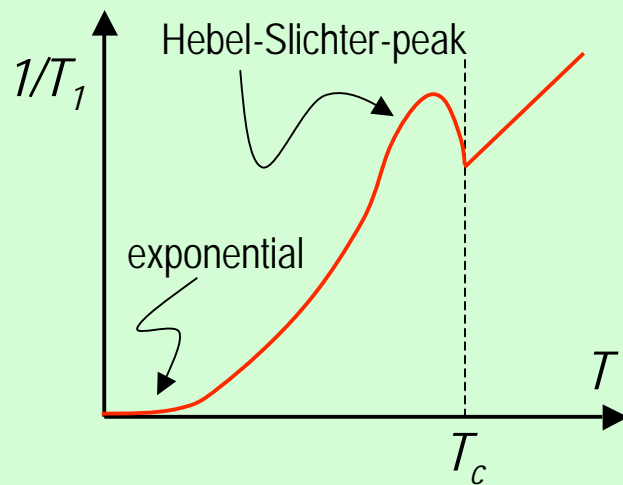
$$\alpha_s = \frac{2\pi}{\hbar} \sum_{\vec{k}, \vec{k}'} C_+(\vec{k}, \vec{k}') f(E_{\vec{k}}) (1 - f(E_{\vec{k}'})) \delta(E_{\vec{k}'} - E_{\vec{k}} - \omega)$$

$$\text{Coherence factor: } C_{\pm}(\vec{k}, \vec{k}') = \begin{cases} \frac{1}{2} \left(1 + \frac{\xi_{\vec{k}} \xi_{\vec{k}'} \pm \text{Re} \Delta^2 \psi(\vec{k}) \psi(\vec{k}')}{E_{\vec{k}} E_{\vec{k}'}} \right) & S = 0 \\ \frac{1}{2} \left(1 + \frac{\xi_{\vec{k}} \xi_{\vec{k}'} \pm \text{Re} \Delta^2 \vec{d}(\vec{k}) \cdot \vec{d}(\vec{k}')}{E_{\vec{k}} E_{\vec{k}'}} \right) & S = 1 \end{cases}$$

Coherence Factor - transition probabilities

Conventional superconductor

$$\langle C_+(\vec{k}, \vec{k}') \rangle_{\vec{k}, \vec{k}'} = \frac{1}{2} \left(1 + \frac{\Delta^2}{E^2} \right)$$

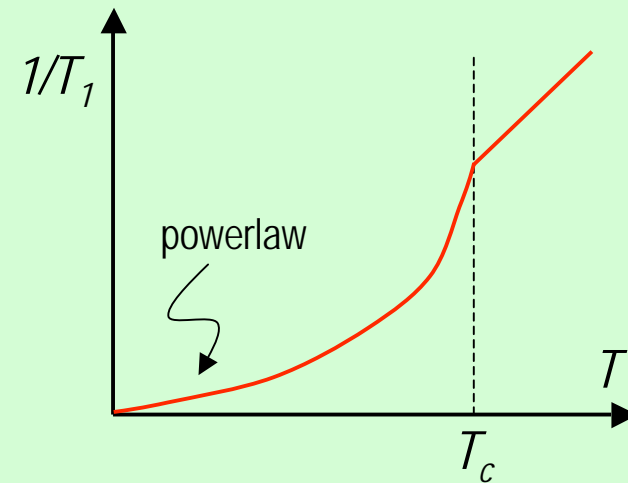


Enhancement due to

- density of states
- coherence factor

Unconventional superconductor

$$\langle C_+(\vec{k}, \vec{k}') \rangle_{\vec{k}, \vec{k}'} = \frac{1}{2}$$

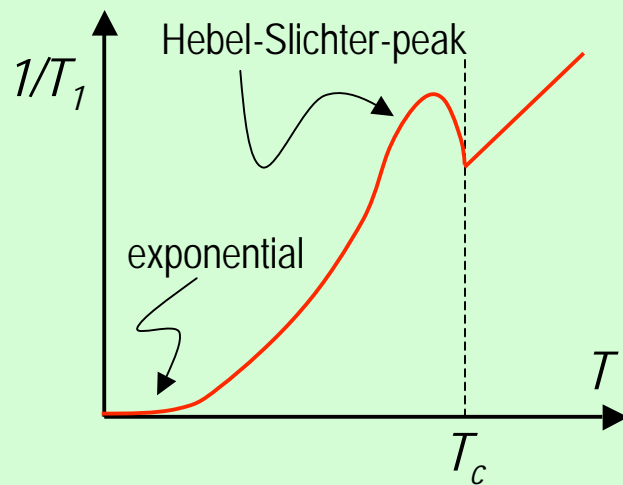


No enhancement

Coherence Factor - transition probabilities

Conventional superconductor

$$\langle C_+(\vec{k}, \vec{k}') \rangle_{\vec{k}, \vec{k}'} = \frac{1}{2} \left(1 + \frac{\Delta^2}{E^2} \right)$$

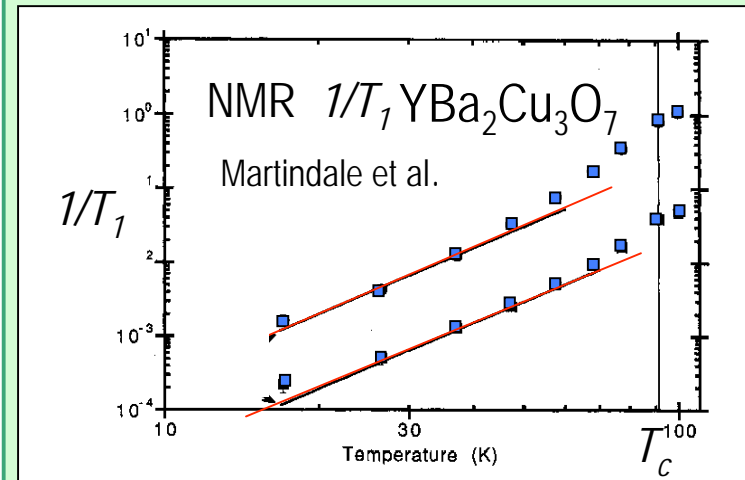


Enhancement due to

- density of states
- coherence factor

Unconventional superconductor

$$\langle C_+(\vec{k}, \vec{k}') \rangle_{\vec{k}, \vec{k}'} = \frac{1}{2}$$



No enhancement