

Structure of Hadrons and the parton model

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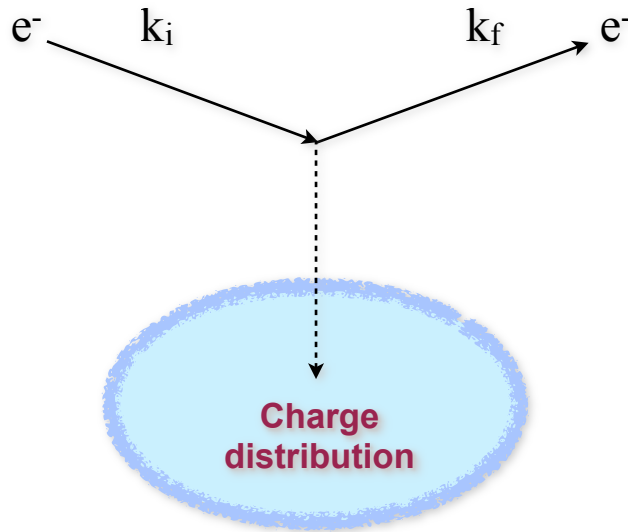
Lecture: 10/5/2011

Topics in this lecture

- How do we study the structure of composite particles?
- Is the proton an elementary particles?
- If not, what do we see inside the proton?
- Are there only charged partons inside the proton?

Probing a charge distribution

- To probe a charge distribution in a target we can scatter electrons on it and measure their angular distribution
- The measurement can be compared with the expectation for a point charge distribution



$$\frac{d\sigma}{d\Omega} = \left(\frac{d\sigma}{d\Omega} \right)_{\text{point}} \overset{\text{Form factor}}{|F(q)|^2}$$

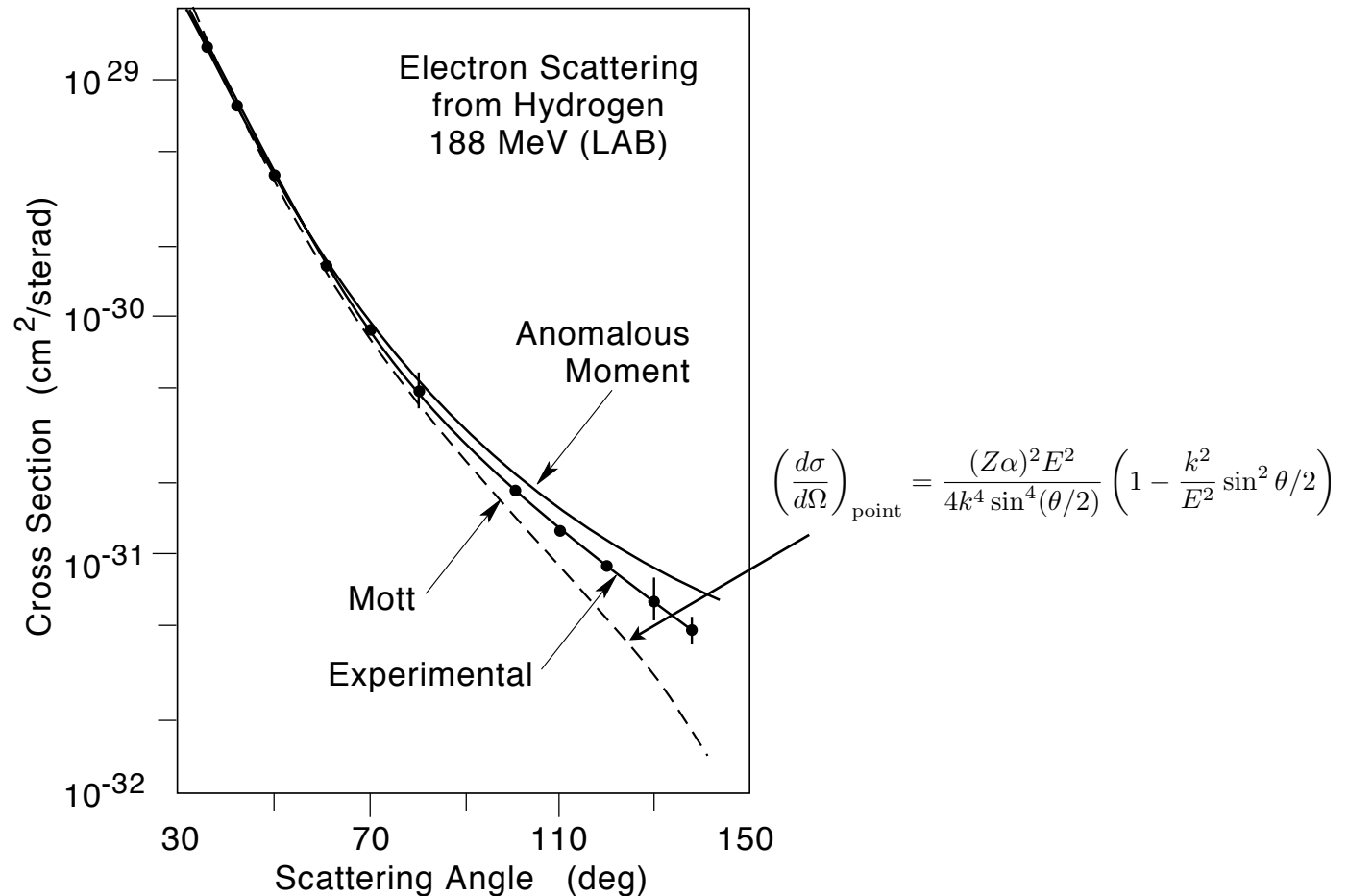
Momentum transfer:

$$q = k_i - k_f$$

Structureless target:

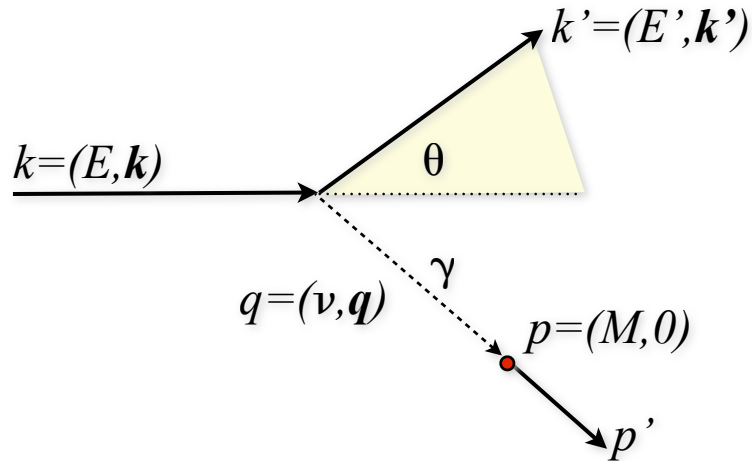
$$\left(\frac{d\sigma}{d\Omega} \right)_{\text{point}} = \frac{(Z\alpha)^2 E^2}{4k^4 \sin^4(\theta/2)} \left(1 - \frac{k^2}{E^2} \sin^2 \theta/2 \right)$$

Proton is not a “point”



Structureless point-like target does not describe the data!

e^- - μ scattering in the lab frame



1) Matrix element

$$|\bar{M}|^2 = \frac{e^4}{q^4} L_e^{\mu\nu} L_{\mu\nu}^{\text{muon}}$$

$$|\bar{M}|^2 = \frac{8e^4}{q^4} 2M^2 E' E \left[\cos^2 \frac{\theta}{2} - \frac{q^2}{2M^2} \sin^2 \frac{\theta}{2} \right]$$

2) Transferred momentum

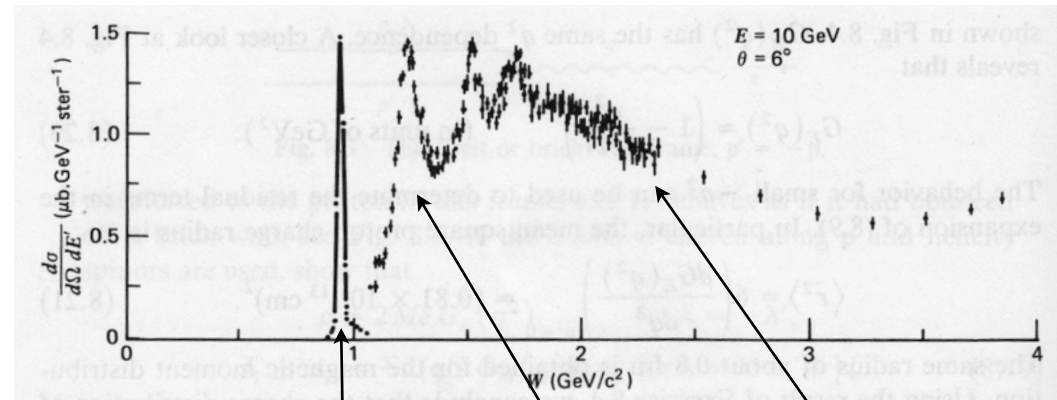
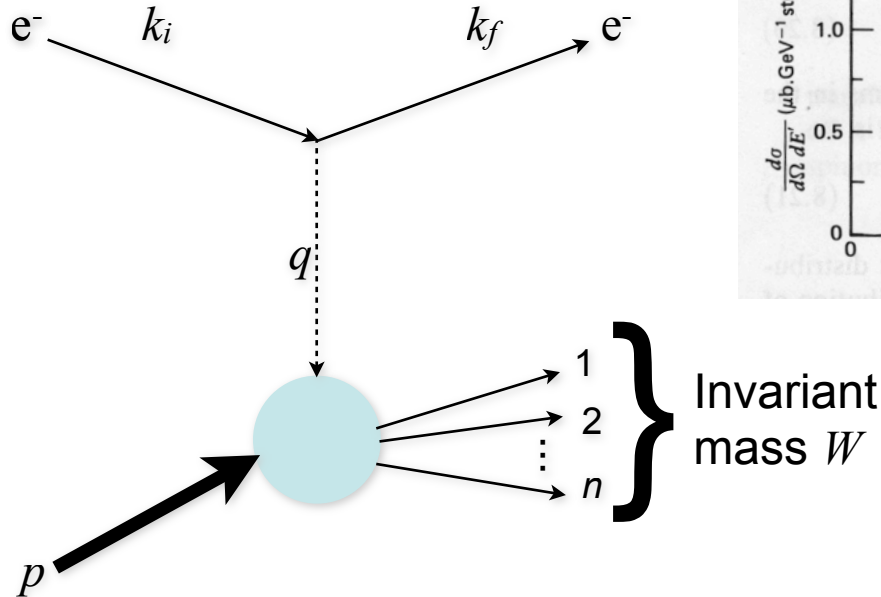
$$q^2 \simeq -2k \cdot k' \simeq -4EE' \sin^2 \frac{\theta}{2}$$

$$\frac{d\sigma}{d\Omega} = \left(\frac{\alpha^2}{4E^2 \sin^4 \frac{\theta}{2}} \right) \frac{E'}{E} \left[\cos^2 \frac{\theta}{2} - \frac{q^2}{2M^2} \sin^2 \frac{\theta}{2} \right]$$

Target recoil

Electron-proton scattering

- The scattering picture used so far needs to be extended for a *composite* object
- The invariant mass spectrum shows the elastic peak, excited baryons followed by an inelastic smooth distribution



Elastic peak	Δ resonance $ep \rightarrow e\Delta^+ \rightarrow ep\pi^0$	Inelastic region
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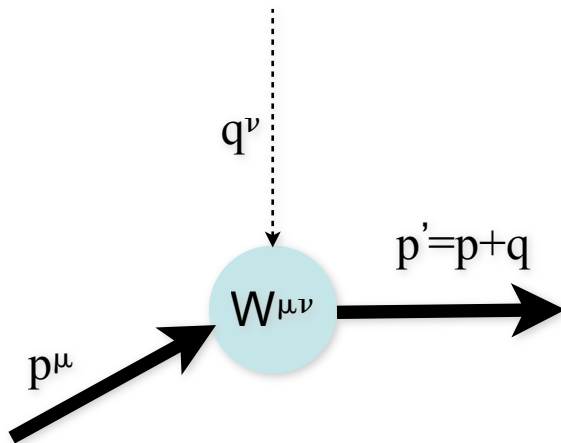
Hadronic tensor - 1

$$d\sigma \sim L_{\mu\nu}^e L_{muon}^{\mu\nu} \rightarrow d\sigma \sim L_{\mu\nu}^e W_{proton}^{\mu\nu}$$

electron-muon scattering *parametrizes the current at the proton vertex*

- The most general form of the tensor W depends on $g^{\mu\nu}$ and on the momenta p and q

$$W^{\mu\nu} = -W_1 g^{\mu\nu} + \frac{W_2}{M^2} p^\mu p^\nu + \frac{W_4}{M^2} q^\mu q^\nu + \frac{W_5}{M^2} (p^\mu q^\nu + q^\mu p^\nu)$$



- From current conservation $\partial_\mu J^\mu = 0$

$$W_5 = -\frac{p \cdot q}{q^2} W_2$$

$$W_4 = \left(\frac{p \cdot q}{q^2} \right)^2 W_2 + \frac{M^2}{q^2} W_1$$

Hadronic tensor - 2

- Only two independent inelastic **structure functions** (W_1 and W_2)

$$W^{\mu\nu} = -W_1 \left(-g^{\mu\nu} + \frac{q^\mu q^\nu}{q^2} \right) + W_2 \frac{1}{M^2} \left(p^\mu - \frac{p \cdot q}{q^2} q^\mu \right) \left(p^\nu - \frac{p \cdot q}{q^2} q^\nu \right)$$

- Each structure function has two independent variables

$$\left[\begin{array}{ll} q^2 & \text{square of transferred four-momentum} \\ \nu \equiv \frac{p \cdot q}{M} & \text{energy transferred to the nucleon} \\ & \text{by the scattering electron} \end{array} \right.$$

$$\left[\begin{array}{ll} x = \frac{-q^2}{2p \cdot q} = \frac{-q^2}{2M\nu} & 0 \leq x \leq 1 \\ y = \frac{p \cdot q}{p \cdot k} & 0 \leq y \leq 1 \end{array} \right. \quad \text{Dimensionless variables} \quad \text{Bjorken scaling variable}$$

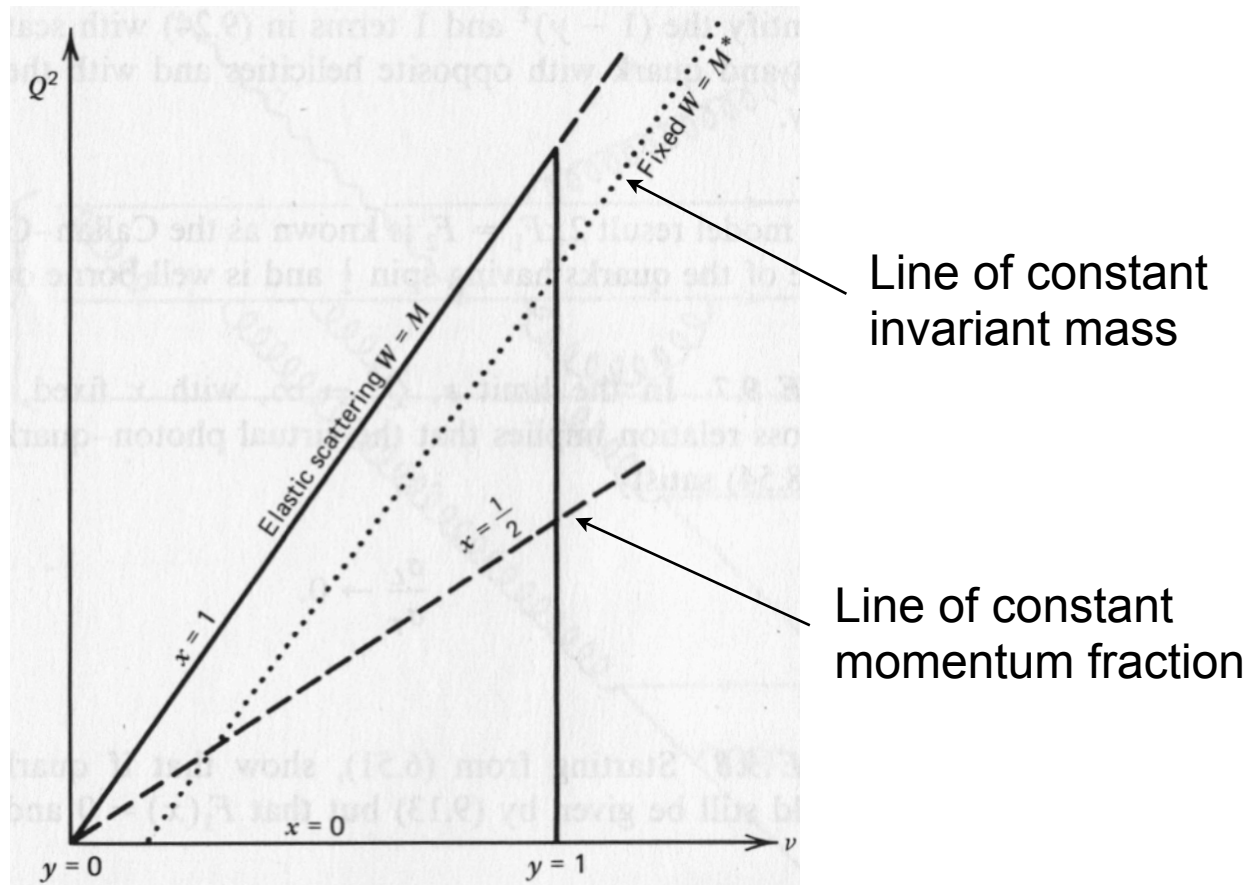
- The invariant mass of the hadronic system in the final state is

$$W^2 = (p + q)^2 = M^2 + 2M\nu + q^2$$

Kinematic phase-space

Elastic scattering

$$x = 1 \rightarrow -q^2 = 2M\nu \rightarrow W^2 = M^2$$



Cross section

- We can now use the hadronic tensor to calculate the matrix element
- In the laboratory frame:

$$(L^e)^{\mu\nu} W_{\mu\nu} = 4EE' \left[\cos^2 \frac{\theta}{2} W_2(\nu, q^2) + \sin^2 \frac{\theta}{2} 2W_1(\nu, q^2) \right]$$

- Using the flux factor and phase-space factor

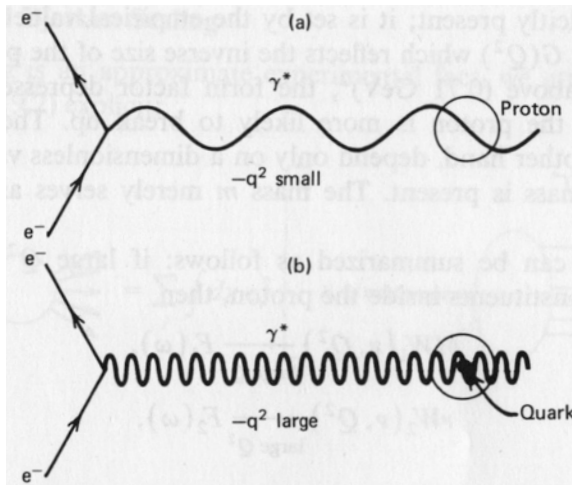
$$\frac{dq}{dE' d\Omega} = \frac{\alpha^2}{4E^2 \sin^4 \frac{\theta}{2}} \left[\cos^2 \frac{\theta}{2} W_2(\nu, q^2) + \sin^2 \frac{\theta}{2} 2W_1(\nu, q^2) \right]$$

- Integrating on the outgoing electron energy

$$\frac{dq}{d\Omega} = \frac{\alpha^2}{4E^2 \sin^4 \frac{\theta}{2}} \frac{E'}{E} \left[\cos^2 \frac{\theta}{2} W_2(\nu, q^2) + \sin^2 \frac{\theta}{2} 2W_1(\nu, q^2) \right]$$

Increasing spatial resolution

- The key factor for understanding the proton substructure is the wavelength of the probing photon

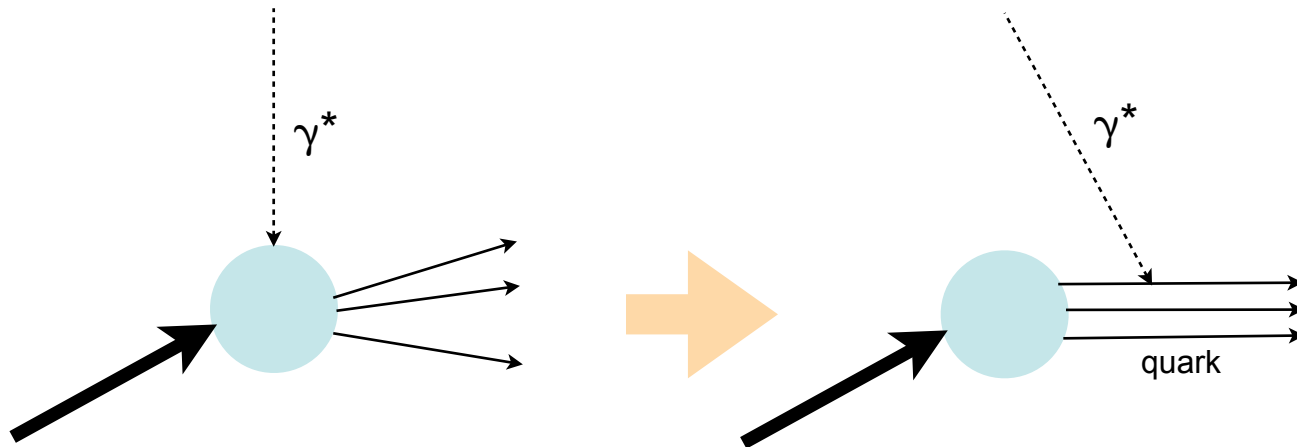


$$\lambda \sim \frac{1}{\sqrt{-q^2}} \sim 1 \text{ Fermi}$$

$$\lambda \sim \frac{1}{\sqrt{-q^2}} \ll 1 \text{ Fermi}$$

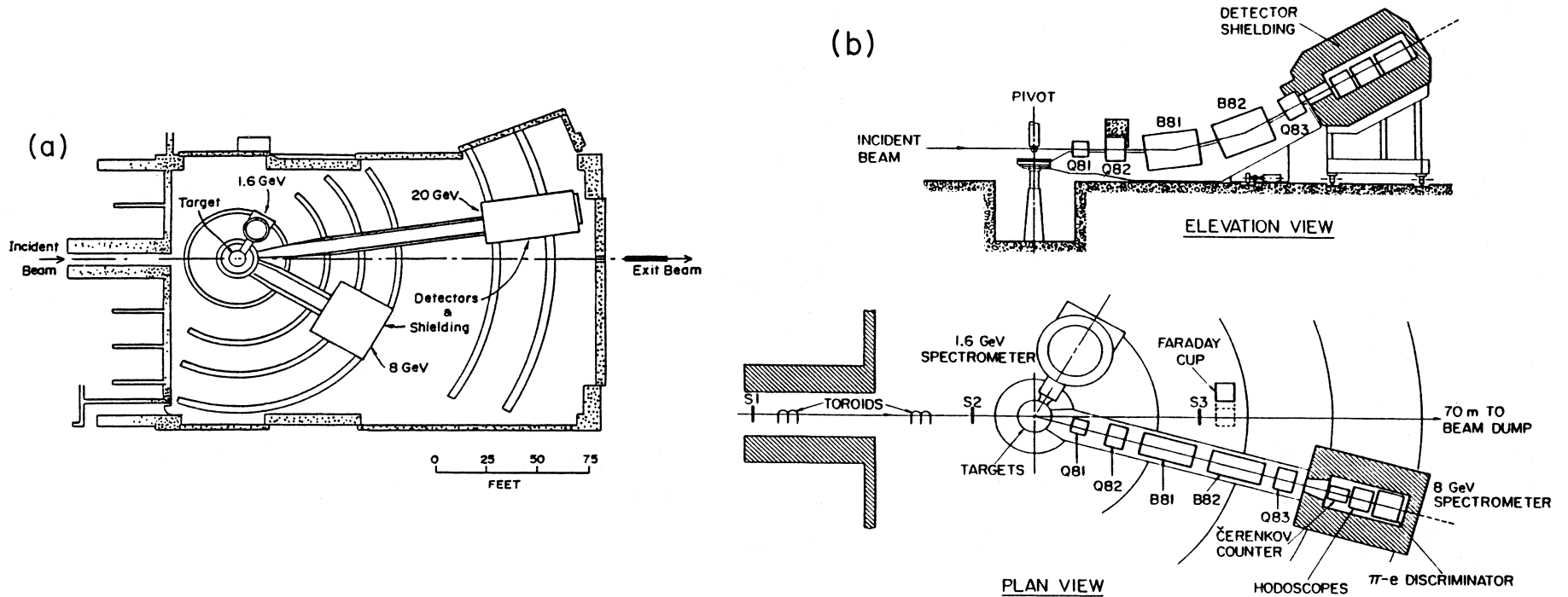
Bjorken Scaling

- In 1968 J.Bjorken proposed that in the structure functions should depend only on the **ratio** ν/q^2 (proportional to x) in the limit $q^2 \rightarrow \infty$ and $\nu \rightarrow \infty$
- In other words: at large $Q^2 \equiv -q^2$ the inelastic e-p scattering is viewed as elastic scattering of the electron on **free “partons” within the proton**

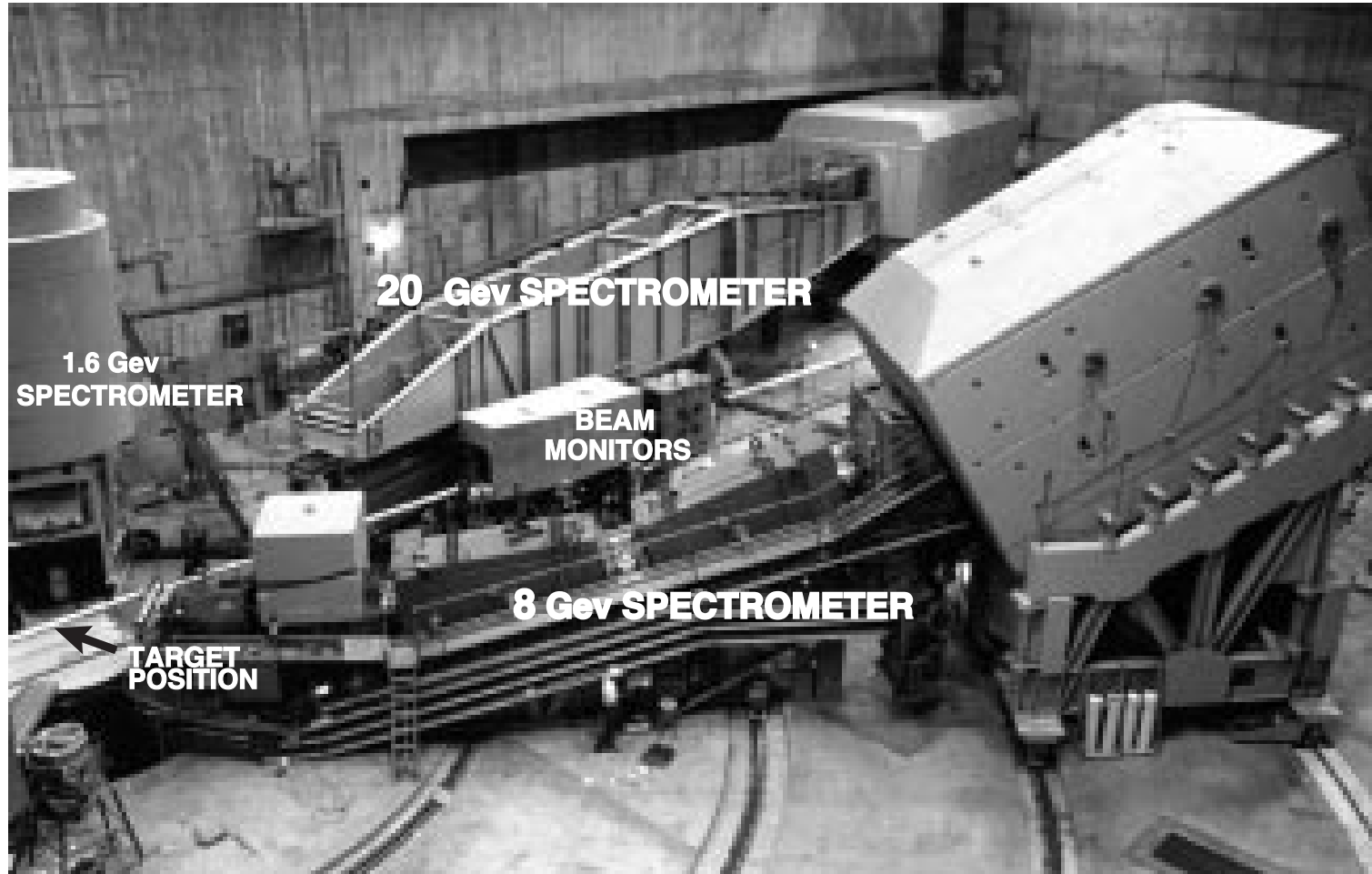


$$\lim_{Q^2 \rightarrow \infty, \nu/Q^2 \text{ fixed}} \nu W_2(Q^2, \nu) = F_2(x)$$
$$\lim_{Q^2 \rightarrow \infty, \nu/Q^2 \text{ fixed}} MW_1(Q^2, \nu) = F_1(x)$$

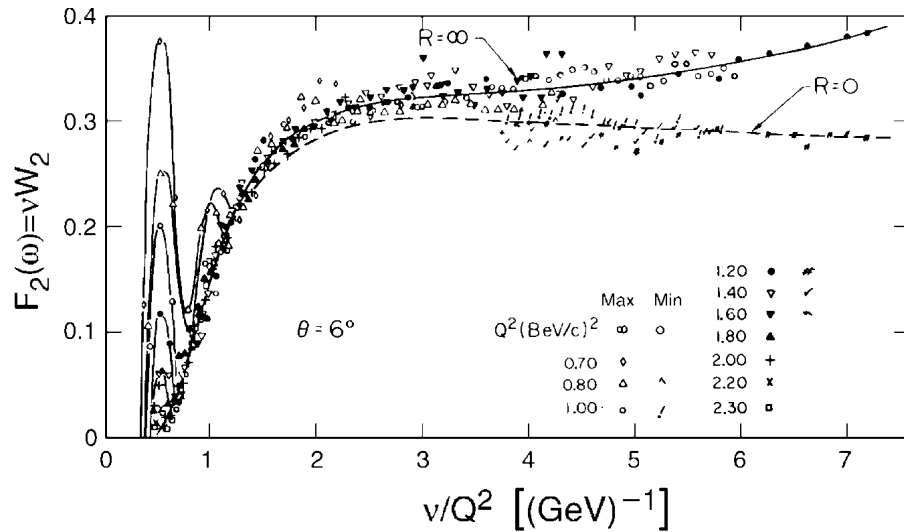
SLAC-MIT experiment



SLAC-MIT experiment



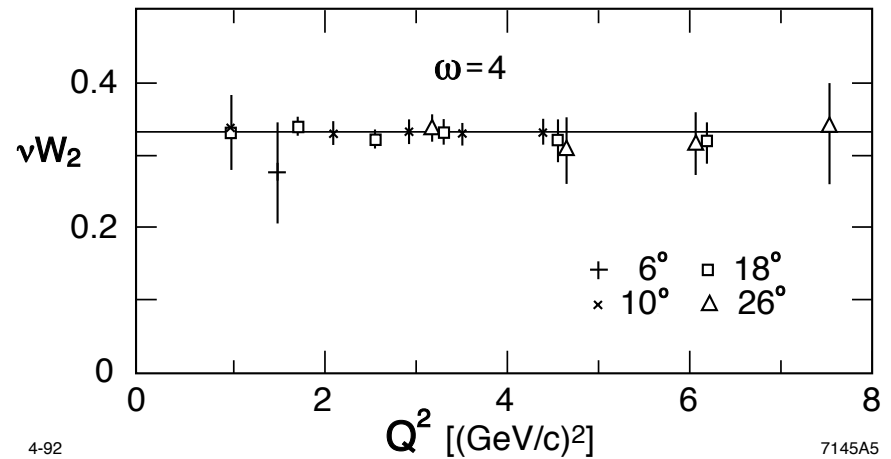
First hints of Bjorken's scaling



First data at 6° : F_2 plotted as function of the scaling variable ν/Q^2 is roughly independent on Q^2

More data at different angles:
 F_2 for a fixed ω does not depend on the transferred momentum Q^2

$$\omega = \frac{2q \cdot p}{Q^2} = \frac{2M\nu}{Q^2}$$



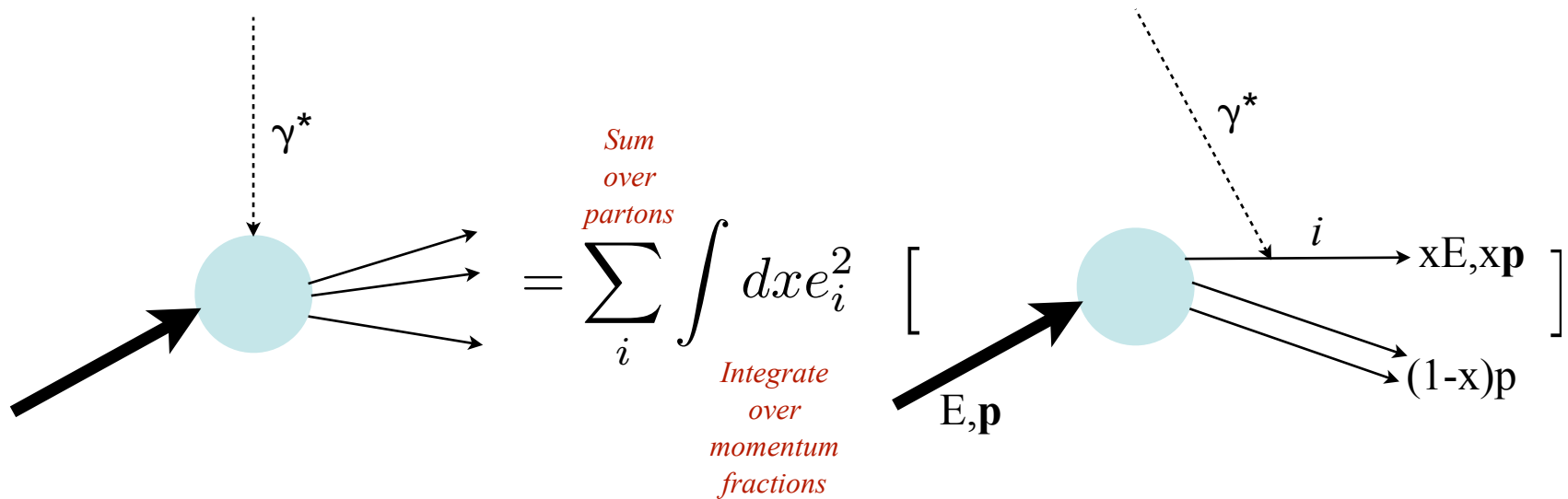
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Friedman, Kendal and Taylor - 1969

Parton distributions

- Partons carry a different fraction x of the proton's momentum and energy



- The probability that the struck parton carries a fraction x of the proton momentum is usually called **parton distribution** or **parton density function**
- Total probability must be equal to one:

$$f_i(x) = \frac{dP_i}{dx}$$

$$\sum_i \int dx x f_i(x) = 1$$

R.Feynman - 1969

Structure function revisited

- In the Feynman's parton model the structure functions are sums of the parton densities constituting the proton

$$\nu W_2(\nu, Q^2) \rightarrow F_2(x) = \sum_i e_i^2 x f_i(x)$$

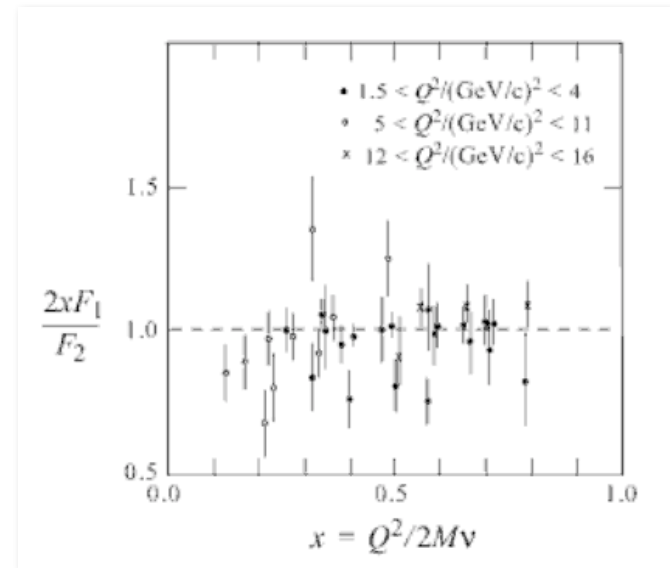
$$MW_1(\nu, Q^2) \rightarrow F_1(x) = \frac{1}{2x} F_2(x)$$

- The result $2xF_1=F_2$ is known as **Callan-Gross** relation and is a consequence of quarks having spin 1/2
- Comparing e-p with e- μ scattering cross sections (with $m \equiv$ quark mass):

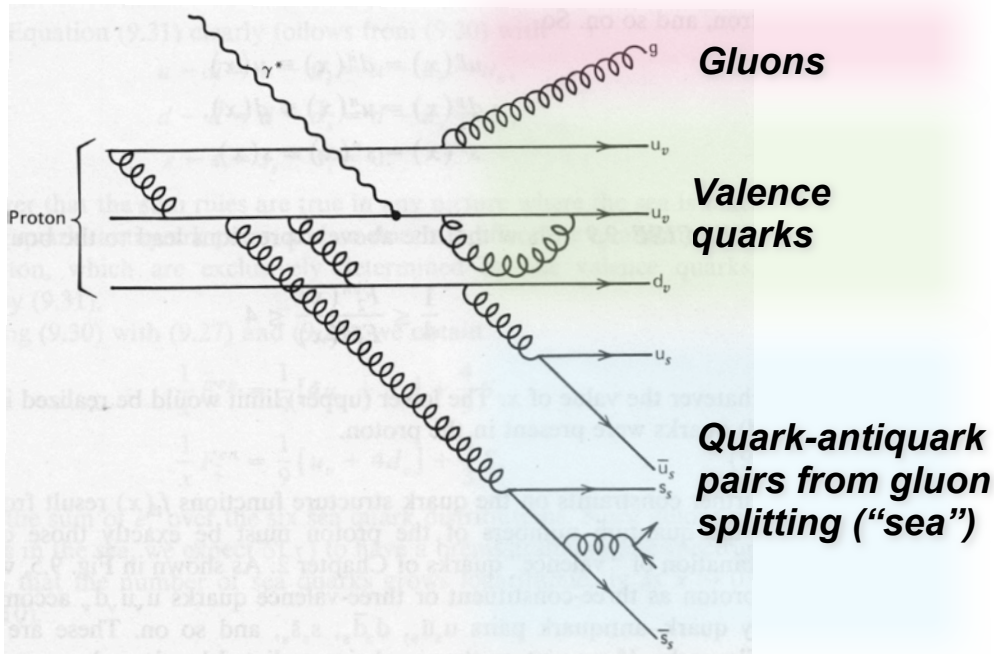
$$\frac{2W_1}{W_2} = \frac{Q^2}{2m^2}, \quad W_1 = F_1/M, \quad W_2 = F_2/\nu$$

$$[m = xM, \quad Q^2 = 2m\nu] \rightarrow \frac{F_1}{F_2} = \frac{1}{2x}$$

↙ The parton carries a fraction x of the proton mass M



Proton/Neutron parton densities

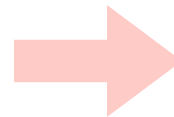


$$\frac{1}{x} F_2^{ep} = \left(\frac{2}{3}\right)^2 [u^p + \bar{u}^p] + \left(\frac{1}{3}\right)^2 [d^p + \bar{d}^p] + \left(\frac{1}{3}\right)^2 [s^p + \bar{s}^p].$$

- We write the equivalent structure function for the **neutron** as

$$\frac{1}{x} F_2^{en} = \left(\frac{2}{3}\right)^2 [u^n + \bar{u}^n] + \left(\frac{1}{3}\right)^2 [d^n + \bar{d}^n] + \left(\frac{1}{3}\right)^2 [s^n + \bar{s}^n].$$

- Proton and neutron parton densities are correlated



$$u^p(x) = d^n(x) \equiv u(x)$$

$$d^p(x) = u^n(x) \equiv d(x)$$

$$s^p(x) = s^n(x) \equiv s(x)$$

Constraints to parton densities

- We assume the three lightest quark flavours (u,d,s) occur with equal probability in the sea

$$u_s = \bar{u}_s = d_s = \bar{d}_s = s_s = \bar{s}_s = S(x)$$

$$u(x) = u_v(x) + u_s(x)$$

$$d(x) = d_v(x) + d_s(x)$$

- Combining all constraints:

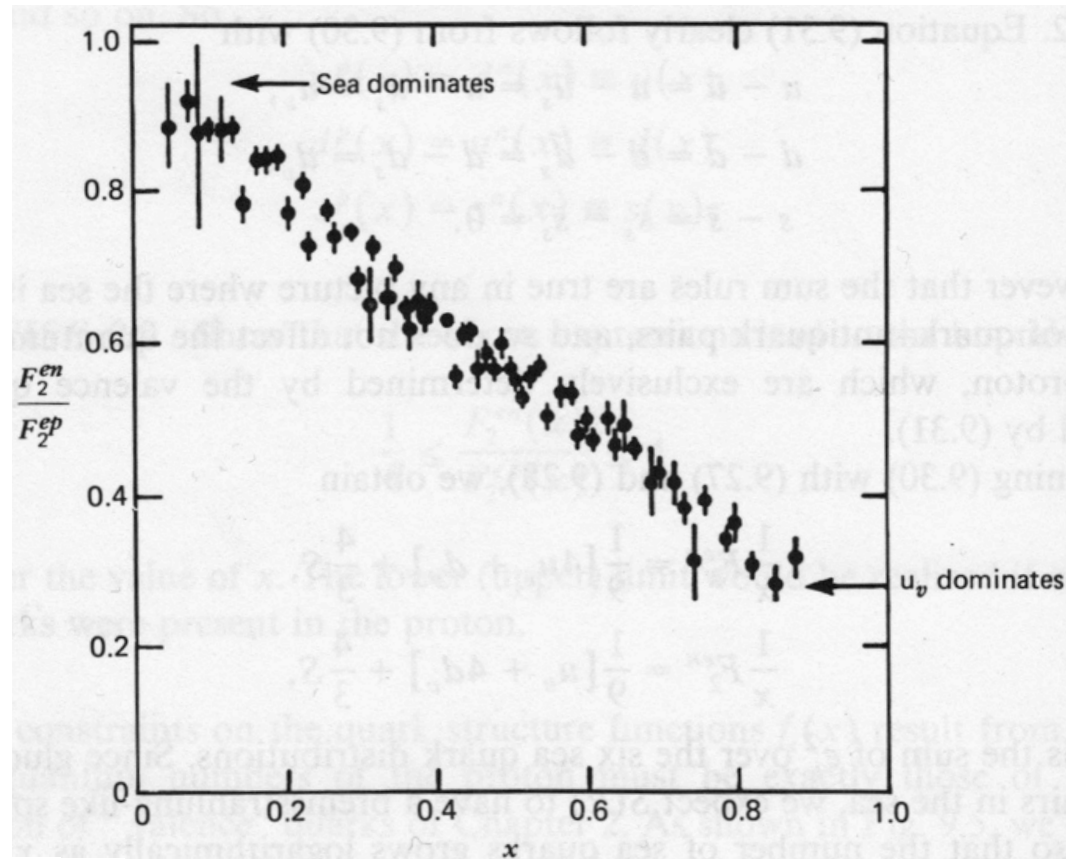
$$\frac{1}{x} F_2^{ep} = \frac{1}{9} [4u_v + d_v] + \frac{4}{3} S$$

$$\frac{1}{x} F_2^{en} = \frac{1}{9} [u_v + 4d_v] + \frac{4}{3} S$$

- At small momenta ($x \sim 0$) the structure function is dominated by **low-momentum quark** pairs constituting the “sea”. For $x \sim 1$ the **valence quarks** dominate and the ratio F_2^{en}/F_2^{ep} becomes

$$\frac{u_v + 4d_v}{4u_v + d_v} \sim \frac{1}{4}$$

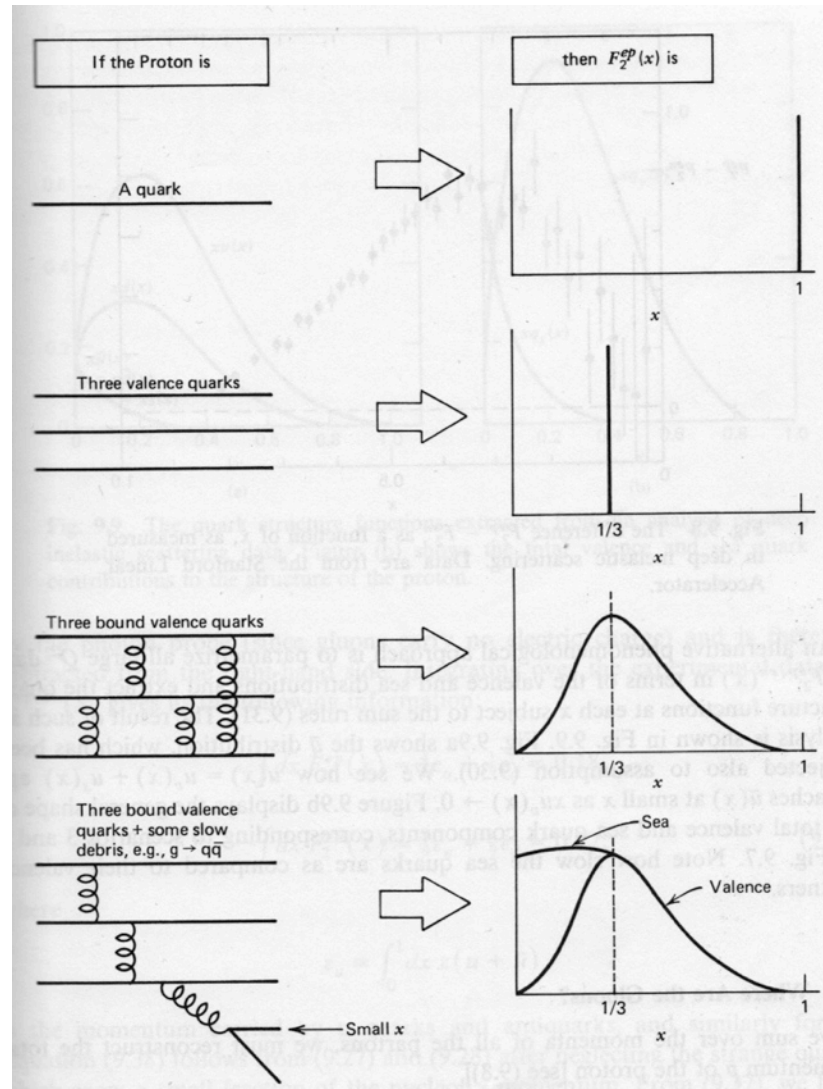
Ratio of structure functions



*low momentum
fractions
(sea)*

*Partons carry
most of the hadron
momentum
(valence)*

Summary of F_2 proton



How about gluons?

- Summing over the momenta of all partons we should reconstruct the total proton momentum:

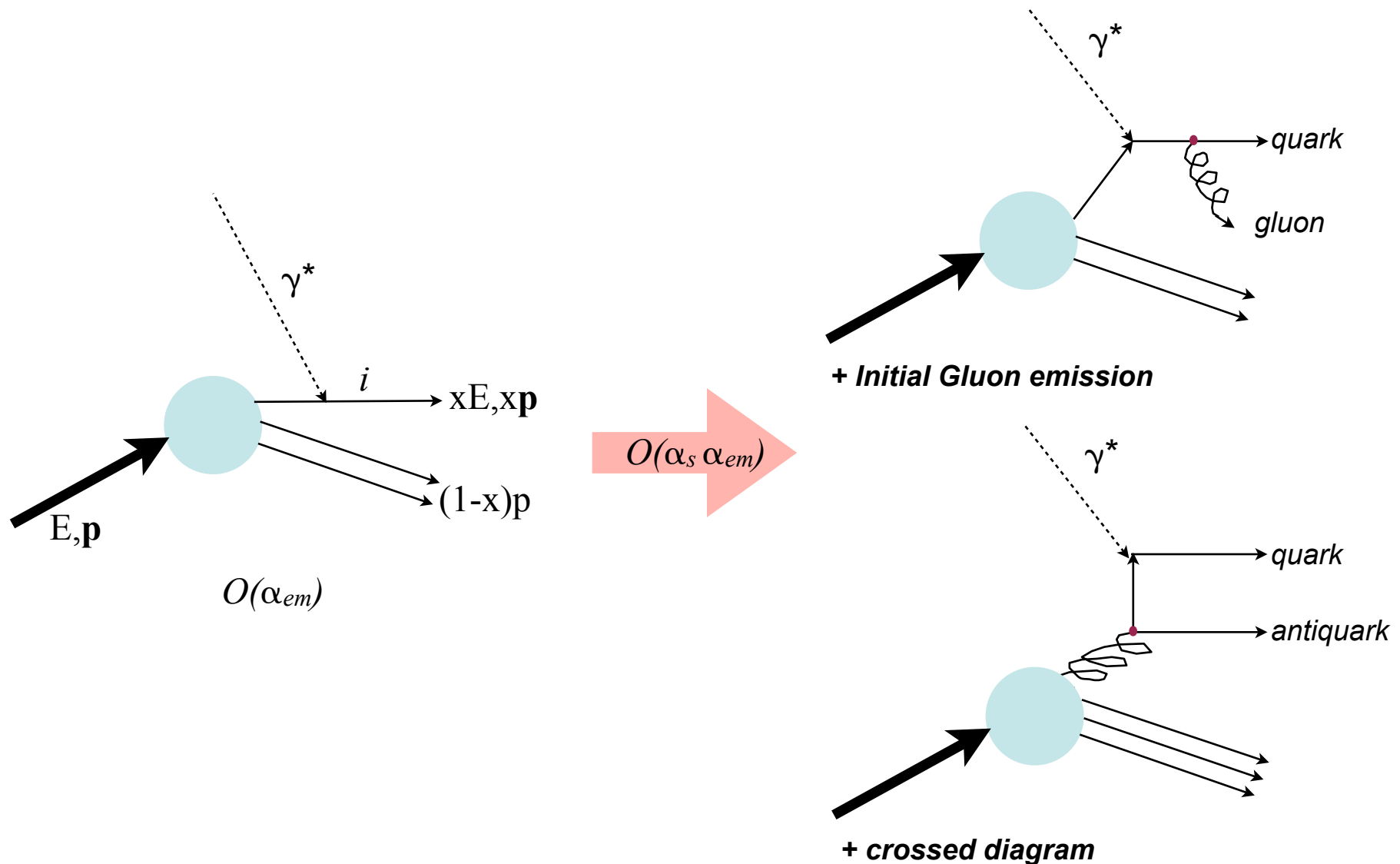
$$\int_0^1 dx x(u + \bar{u} + d + \bar{d} + s + \bar{s}) = 1 - \frac{p_g}{p} = 1 - \epsilon_g$$

- Neglecting the small fraction carried by the strange quarks we have and using the results of experimental data

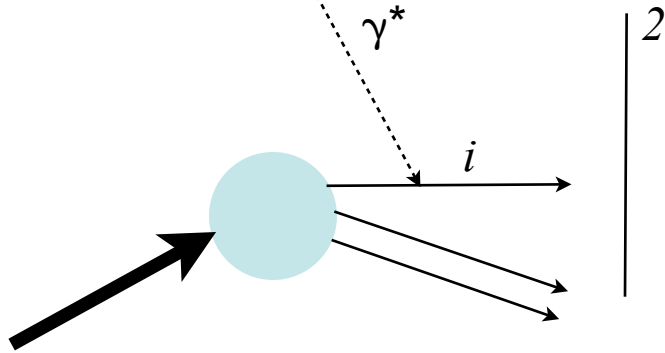
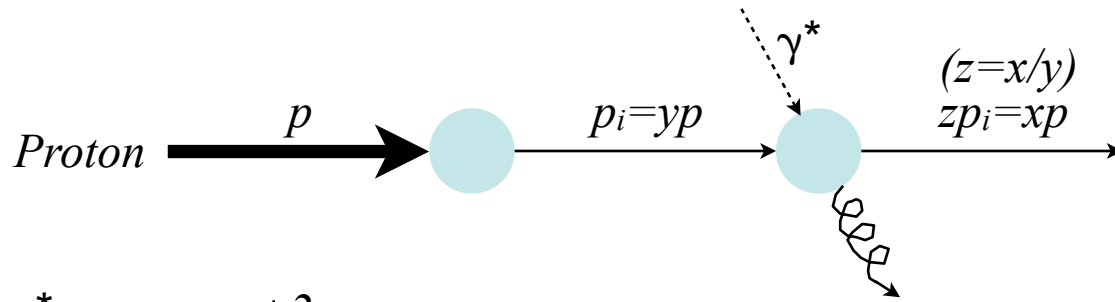
$$\epsilon_u \equiv \int_0^1 dx x(u + \bar{u}) \quad \rightarrow \quad \epsilon_g \simeq 1 - \epsilon_u - \epsilon_d = 1 - 0.36 - 0.18 = 0.46$$

***Experimental data indicate that about 50%
of the proton momentum is carried by neutral partons,
not by quarks!***

Gluons and the parton model

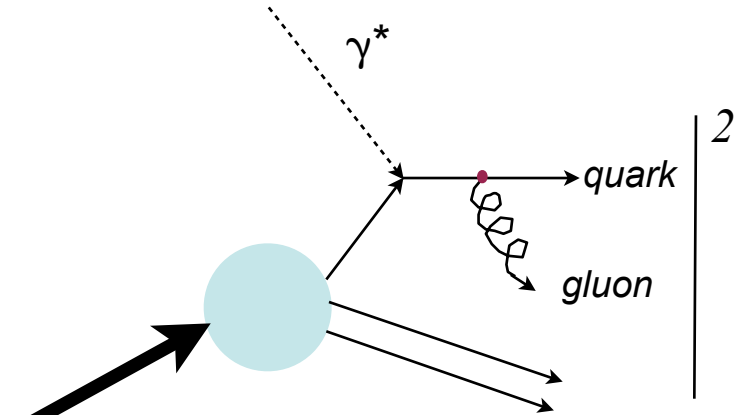


Gluon emission: contribution to F_2



$$\frac{F_2(x, Q^2)}{x} = \sum_i e_i^2 \int_x^1 \frac{dy}{y} f_i(y) \delta(1 - x/y) = \sum_i e_i^2 f_i(x)$$

Scaling:
no Q^2
dependence



+ Initial Gluon emission

$$\frac{F_2^{\gamma^* q \rightarrow qg}(x, Q^2)}{x} = \sum_i e_i^2 \int_x^1 \frac{dy}{y} f_i(y) \left[\frac{\alpha_s}{2\pi} P_{qq}(x/y) \log \frac{Q^2}{\mu^2} \right]$$

Scaling
violation!

Cut-off
for soft gluons

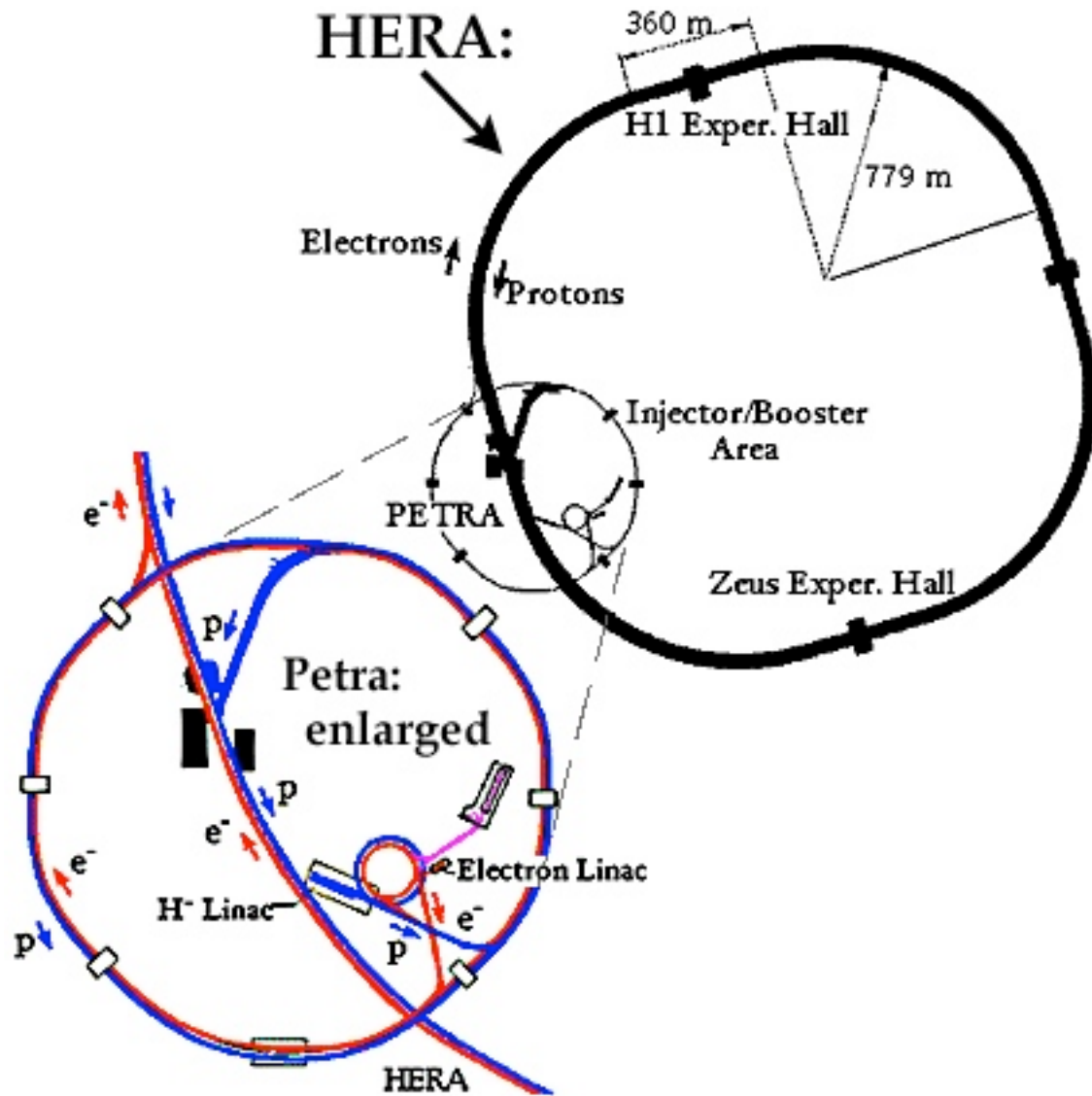
$$P_{qq}(z) = \frac{4}{3} \left(\frac{1+z^2}{1-z} \right)$$

Splitting function:

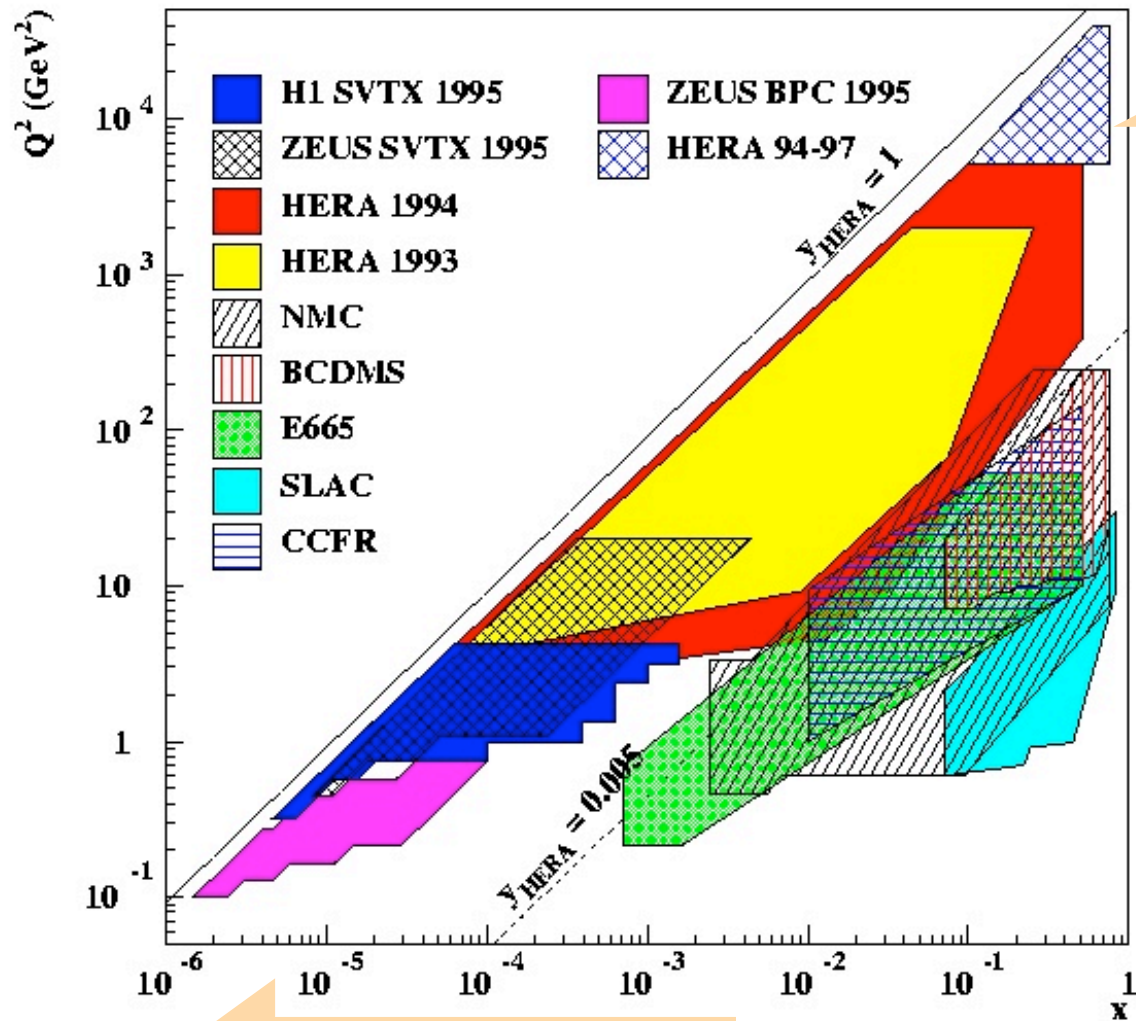
probability of a quark to emit a gluon and reduce momentum by a fraction z
N.B.: divergent for soft gluons ($z \rightarrow 1$)

Experimental techniques

HERA accelerator complex



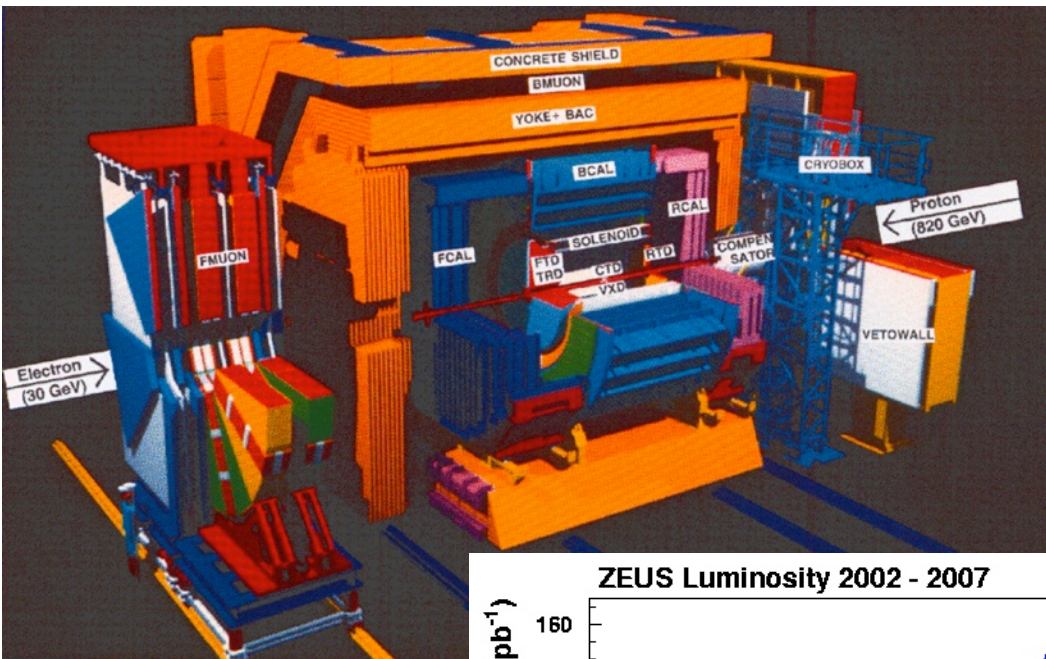
Kinematic region



Larger momentum transfers
(large electron scattering angles)

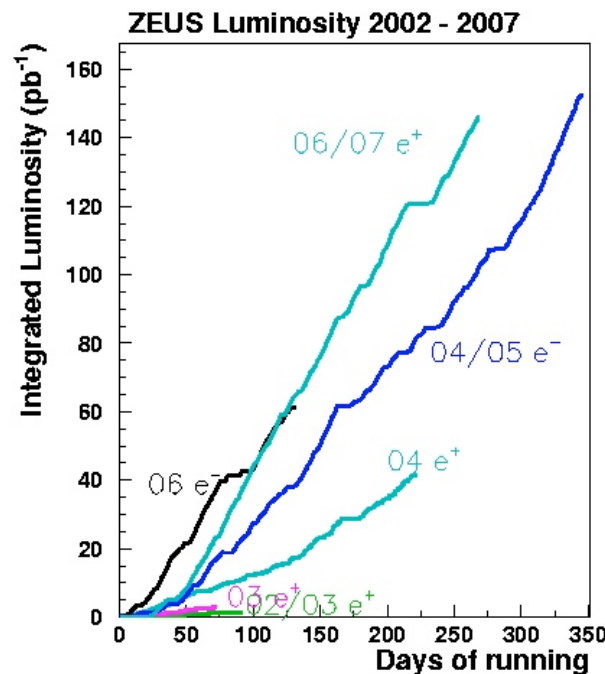
Larger sensitivity to sea quarks (gluons)

HERA experiments: ZEUS



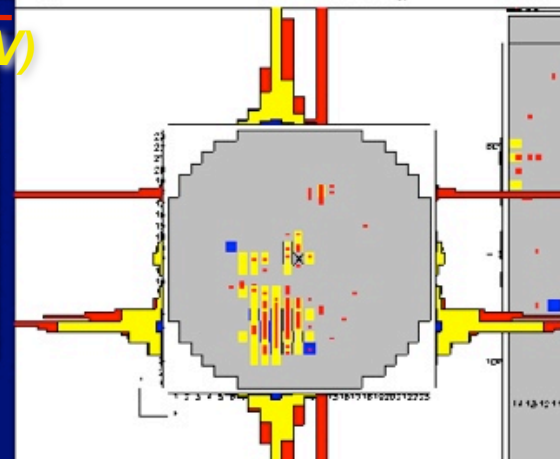
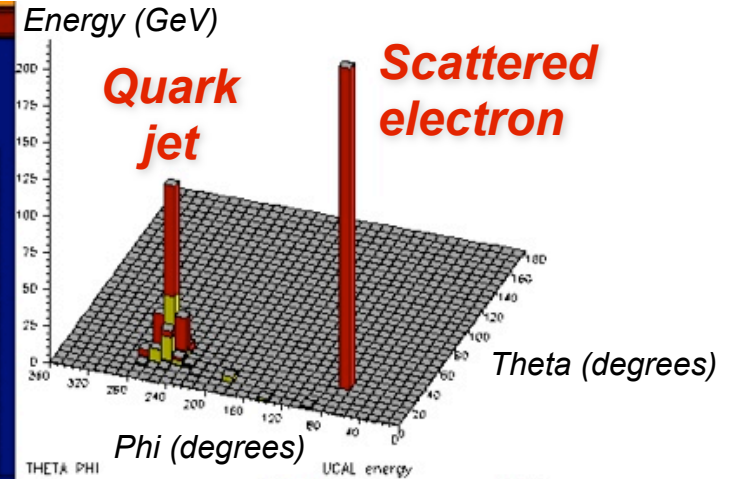
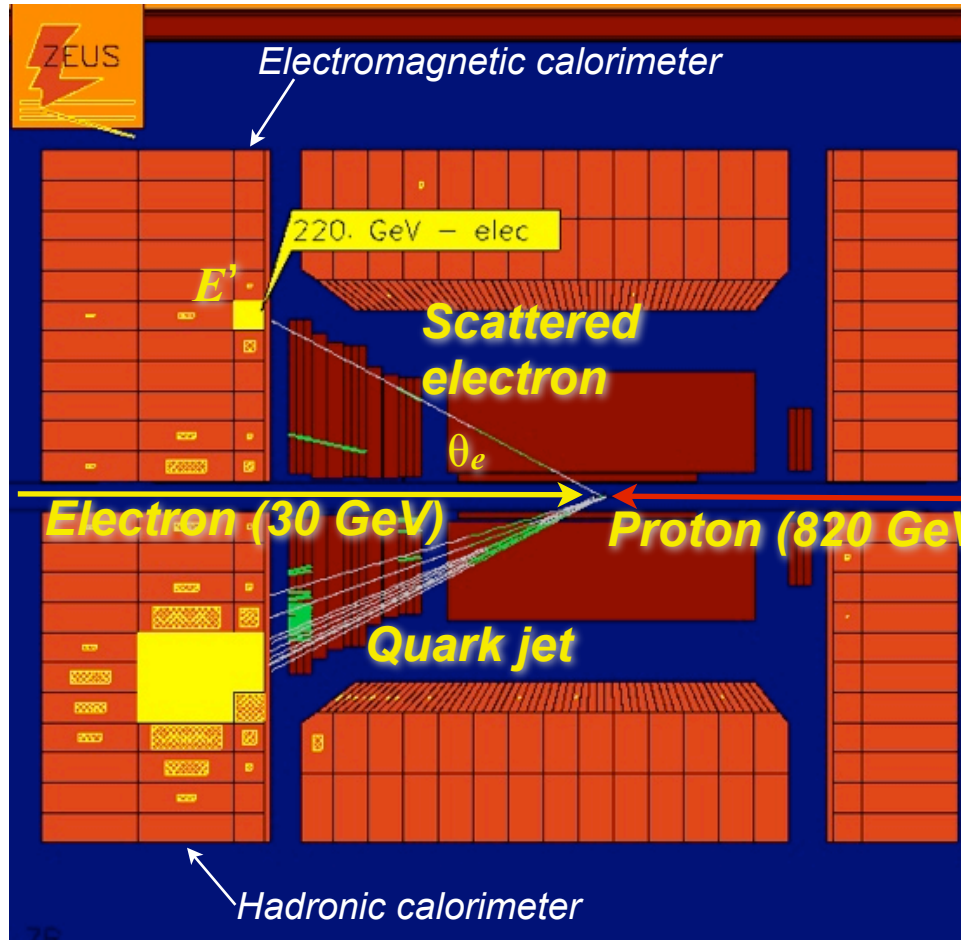
Subdetectors:

- 1) Central tracker**
 - electron momentum
 - charged particles in jet
 - muon momentum
- 2) Electromagnetic calorimeter**
 - electron (and photon) energy
- 3) Hadronic calorimeter**
 - jet energy
- 4) Muon detectors:**
 - muon ID and momentum

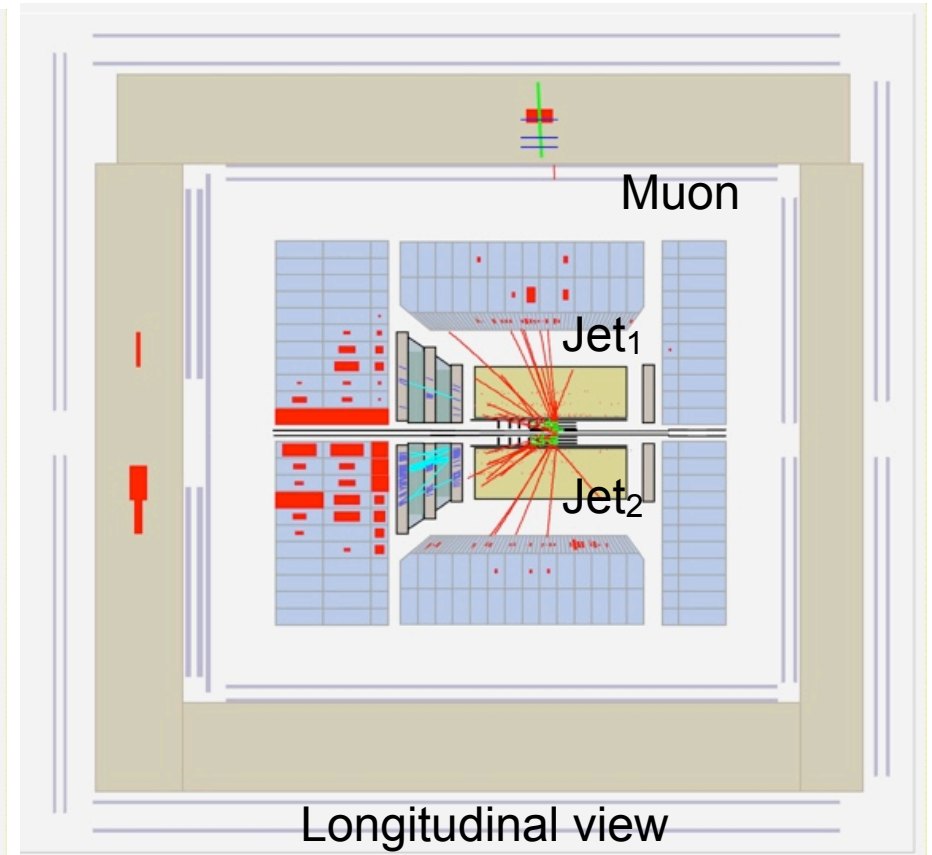
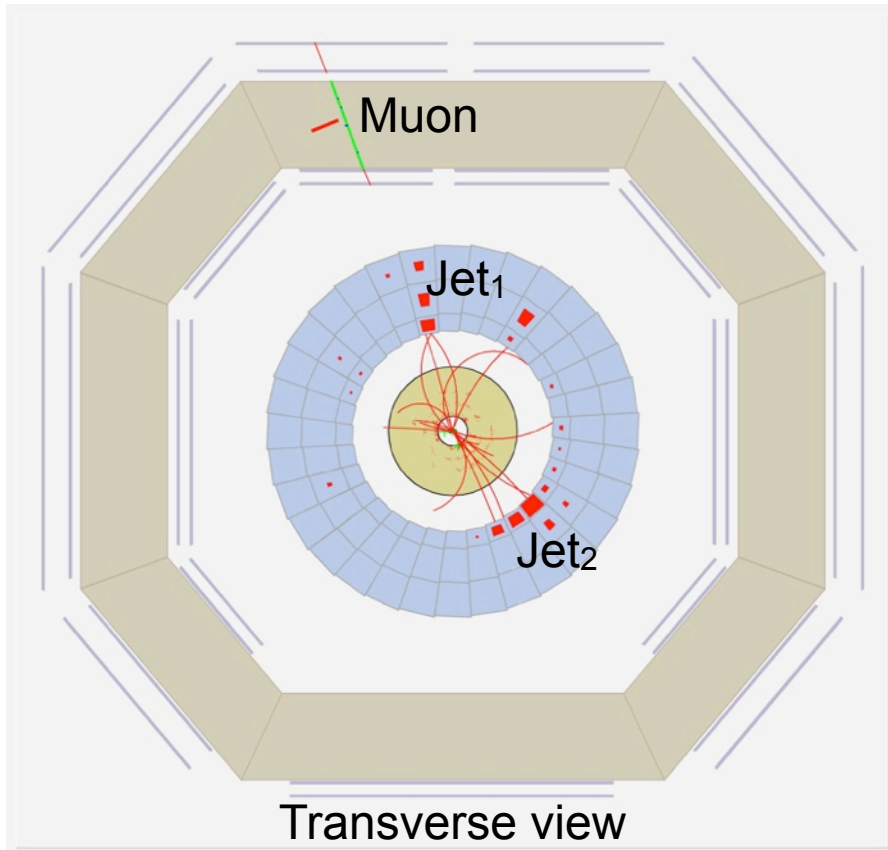


Deep Inelastic Scattering event

$$e p \rightarrow e \text{ jet } X$$



Events with two jets



$$e p \rightarrow e jet_1 jet_2 X$$

partonic subprocess: $\gamma^ g \rightarrow q \text{ anti-}q$*

*Only possible
with gluons!*

Kinematic reconstruction

- To fully characterize a deep inelastic scattering event kinematics both Q^2 and x (or y) have to be measured

$$e(k) + p(P) \rightarrow e(k') + X$$

$$Q^2 = -q^2 = -(k - k')^2,$$

$$x = \frac{Q^2}{2P \cdot q},$$

$$y = Q^2 / (sx)$$

- Both variables can be measured e.g. detecting only the scattered electron

$$y_e = 1 - \frac{E'_e}{2E_e}(1 - \cos \theta_e),$$

$$Q_e^2 = 2E_e E'_e (1 + \cos \theta_e).$$

- More precise methods combine the measurements (energy and polar angle) of both electron and hadronic system

Measurement of F_2 proton

- The measurement of F_2 is given by the double differential e-p cross section as function of x and Q^2 :

Measurement

$$\frac{d\sigma_{exp}^2}{dx dQ^2} = \frac{N(x, Q^2)}{\epsilon \Delta x \Delta Q^2 L}$$

Number of detected events in a x, Q^2 bin

Detector efficiency correction (from Monte Carlo simulation)

Bin size & integrated luminosity

Theory

$$\frac{d\sigma^2}{dx dQ^2} = \frac{2\pi\alpha^2}{xQ^2} [1 + (1 - y)^2] F_2(x, Q^2) \delta$$

$$\delta = (1 - \delta_L - \delta_3)(1 + \delta_r)$$

to first order can be neglected

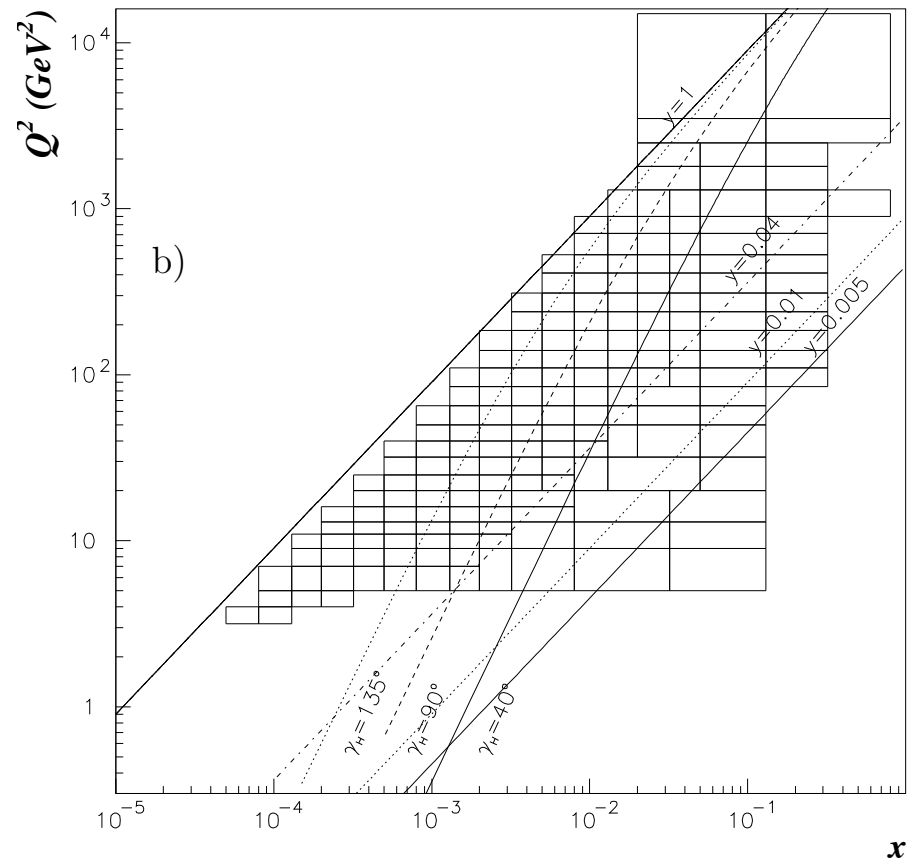
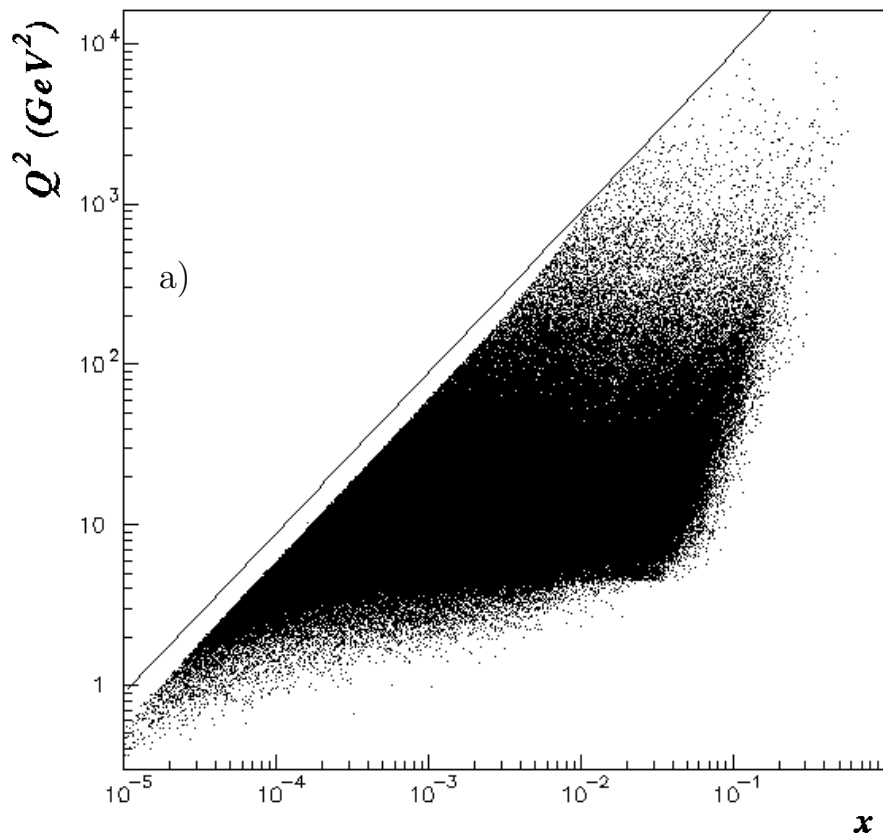
Longitudinal structure function

Parity-violating term due to Z^0 exchange

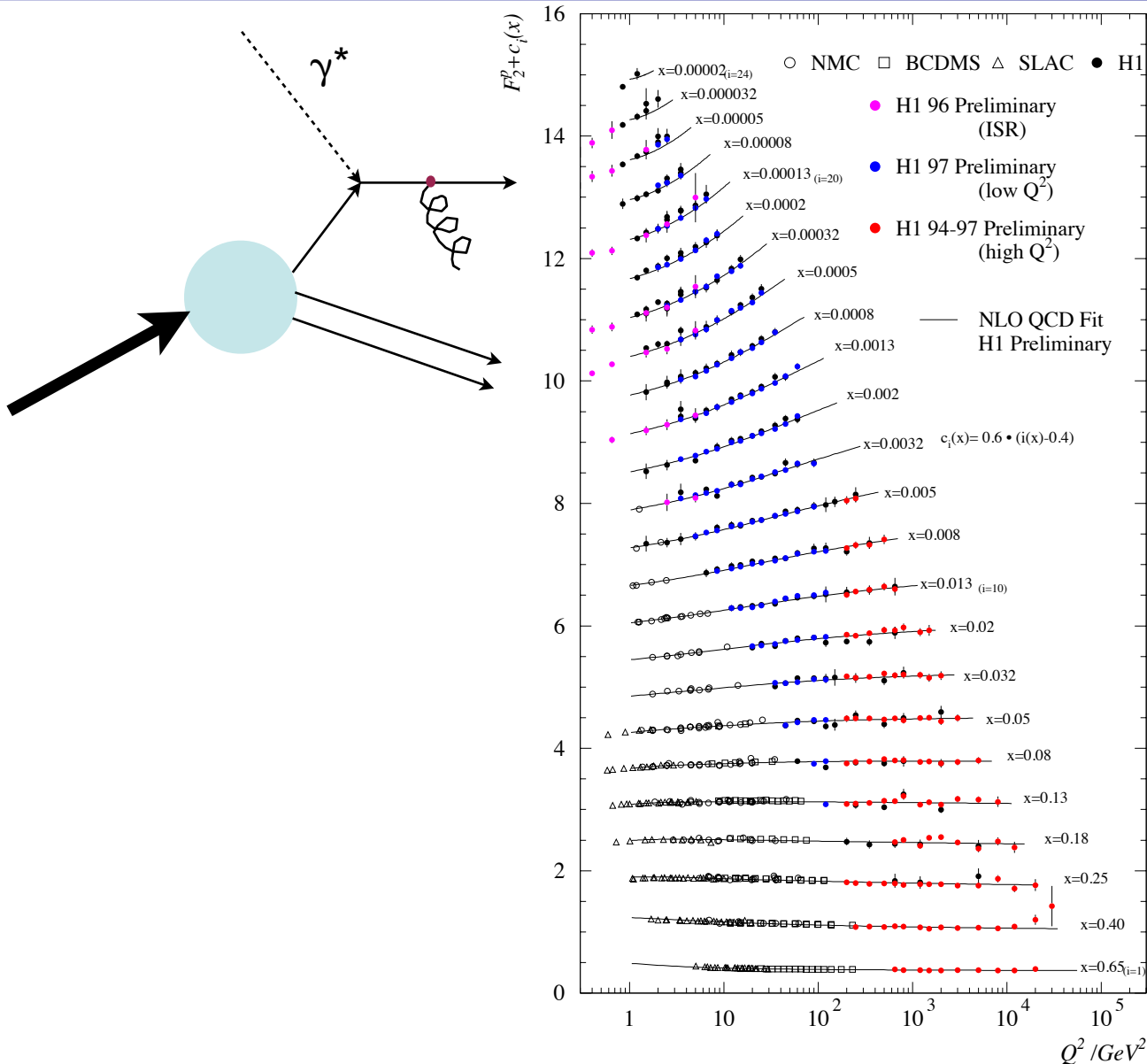
Electroweak radiative correction

Measured kinematic range

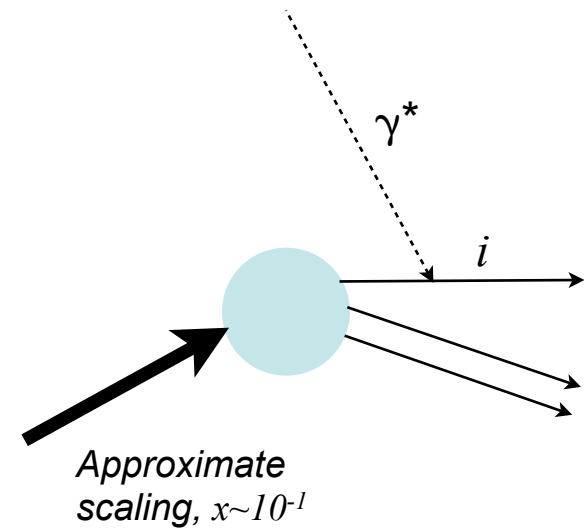
ZEUS 1994



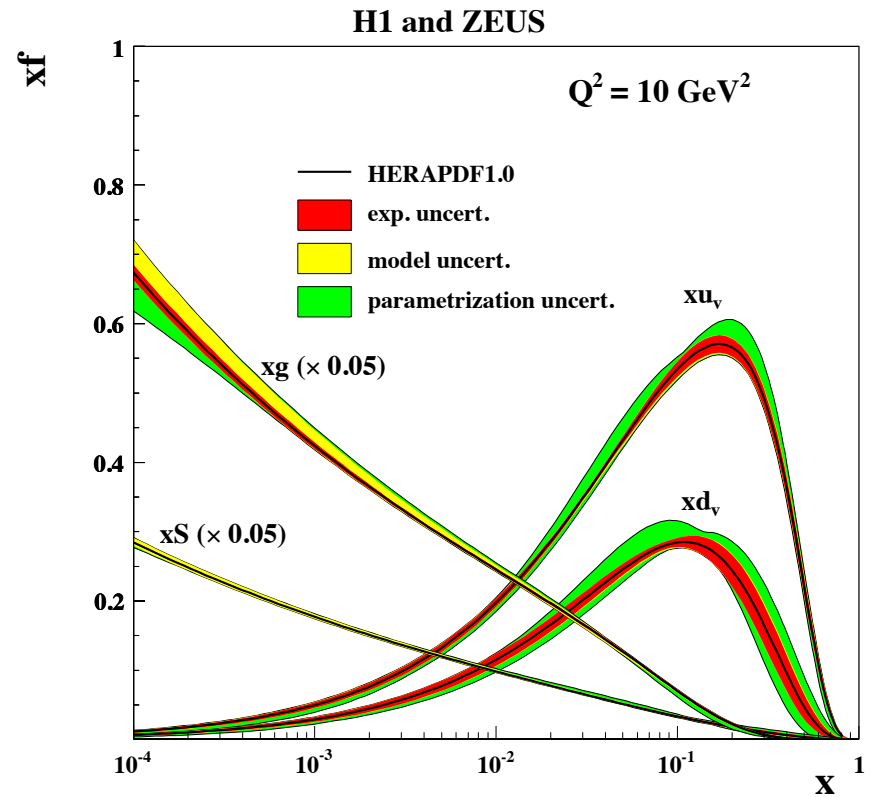
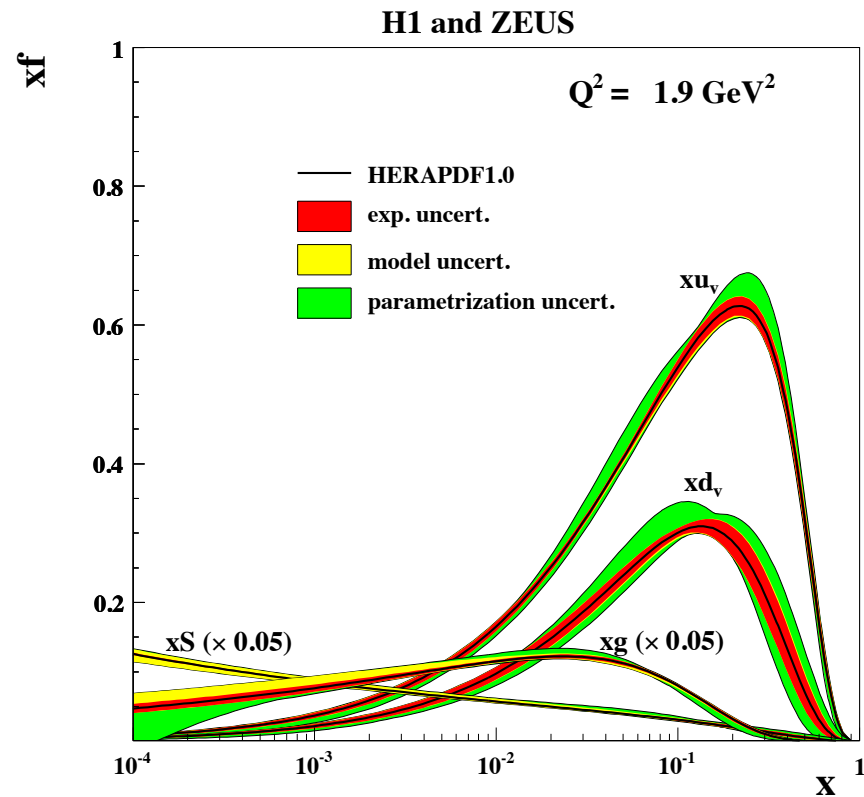
F_2 results from HERA



*Scaling violation
 (logarithmic dependence)
 $x < 0.01$*



Parton distributions from HERA



References

- F.Halzen, *A.Martin*, *Quarks and Leptons*, Wiley, Sections 8/9/10
- C.Amsler, *Kern- und Teilchenphysik*, UTB