

**Exercise 9.1 Some properties of von Neumann entropy**

We will now derive some properties of the von Neumann entropy that will be useful in later exercises. The von Neumann entropy of a density operator  $\rho \in \mathcal{S}(\mathcal{H})$  is defined as

$$H(\rho) = -\text{Tr}(\rho \log \rho) = -\sum_i \lambda_i \log \lambda_i, \quad (1)$$

where  $\{\lambda_i\}_i$  are the eigenvalues of  $\rho$ .

Given a composite system  $\rho_{ABC} \in \mathcal{S}(\mathcal{H}_A \otimes \mathcal{H}_B \otimes \mathcal{H}_C)$  and  $\rho_{AB} = \text{Tr}_C(\rho_{ABC})$  etc., we often write  $H(AB)$  instead of  $H(\rho_{AB})$  to denote the entropy of a subsystem.

The *conditional* von Neumann entropy may be defined in a composed system  $\mathcal{H}_A \otimes \mathcal{H}_B$  as

$$H(A|B) = H(AB) - H(B). \quad (2)$$

The strong sub-additivity property of the von Neumann entropy proves very useful:

$$H(ABC) + H(B) \leq H(AB) + H(BC). \quad (3)$$

a) Prove the following general properties of the von Neumann entropy:

1. If  $\rho_{AB}$  is pure, then  $H(A) = H(B)$ .
2. If two subsystem are independent,  $\rho_{AB} = \rho_A \otimes \rho_B$ , then  $H(AB) = H(A) + H(B)$ .

b) Consider a bipartite system that is classical on a subsystem  $Z$ , namely  $\rho_{ZA} = \sum_z p_z |z\rangle\langle z|_Z \otimes \rho_A^z$  for some basis  $\{|z\rangle_Z\}_z$  of  $\mathcal{H}_Z$ . Show that:

1. The entropy of the global state is given by

$$H(AZ) = H(Z) + \sum_z p_z H(A|Z = z), \quad (4)$$

where  $H(A|Z = z) = H(\rho_A^z)$ .

2. Even if one has access to subsystem  $A$  the classical variable is not fully known,

$$H(Z|A) \geq 0. \quad (5)$$

*Remark: Eq (6) holds in general only for classical  $Z$ . Consider, e.g., the Bell-States as an immediate counterexample in the fully quantum case.*

**Exercise 9.2 Upper bound on von Neumann entropy**

Given a state  $\rho \in \mathcal{S}(\mathcal{H})$ , show that

$$H(\rho) \leq \log |\mathcal{H}|. \quad (6)$$

Consider the state  $\bar{\rho} = \int U \rho U^\dagger dU$ , where the integral is over all unitaries  $U \in \mathcal{U}(\mathcal{H})$  and  $dU$  is the Haar measure. Find  $\bar{\rho}$  and use concavity of von Neumann entropy to show (7).

Hint: The Haar measure satisfies  $d(UV) = d(VU) = dU$ , where  $V \in \mathcal{U}(\mathcal{H})$  is any unitary.

### Exercise 9.3 Data Processing Inequality

Random variables  $X, Y, Z$  form a Markov chain  $X \rightarrow Y \rightarrow Z$  if the conditional distribution of  $Z$  depends only on  $Y$ :  $p(z|x, y) = p(z|y)$ . The goal in this exercise is to prove the data processing inequality,  $I(X : Y) \geq I(X : Z)$  for  $X \rightarrow Y \rightarrow Z$ .

1. First show the chain rule for mutual information:  $I(X : YZ) = I(X : Z) + I(X : Y|Z)$ , which holds for arbitrary  $X, Y, Z$ . The conditional mutual information is defined as

$$I(X : Y|Z) = \sum_z p(z) I(X : Y|Z = z) = \sum_z p(z) \sum_{x,y} p(x, y|z) \log \frac{p(x, y|z)}{p(x|z)p(y|z)}.$$

2. Next show that in a Markov chain  $X \rightarrow Y \rightarrow Z$ ,  $X$  and  $Z$  are conditionally independent given  $Y$ ; that is,  $p(x, z|y) = p(x|y)p(z|y)$ .
3. By expanding the mutual information  $I(X : YZ)$  in two different ways, prove the data processing inequality.